# Introduction to small-angle scattering –the use of X-rays and Neutrons

### A practical guide with examples to highlight specific advantages

Stephan V. Roth Deutsches Elektronen-Synchrotron (DESY), Notkestr. 85, D-22607 Hamburg, Germany KTH Royal Institute of Technology, Department of Fibre and Polymer Technology, Teknikringen 56-58, SE-100 44 Stockholm, Sweden

FASEM School Lund, 13.05.2019



HELMHOLTZ RESEARCH FOR GRAND CHALLENGES

# Outline

Introduction to small-angle scattering – the use of X-rays and Neutrons

- Basics SAXS (hold ~SANS)
- SAXS approximations (holds for SANS)
- Example I Aerogels
- Example II Kinetics of colloidal droplet drying
- Example III Chocolate
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- Neutron scattering
- Example VII Contrast variation using deuteration and D<sub>2</sub>O

# **Photons**

• Type equation here.Electromagnetic wave



Als-Nielsen, McMorrow, "Elements of modern X-ray Physics", Wiley, 2010

A real multicomponent system



# **Cross-section**

Differential cross section •



- Scattering occurs due to density differences •

# WAXS, SAXS, GISAXS

Source: Streumethoden zur Untersuchung kondensierter Materie 1996; ISBN 978-3-89336-180-9



# **Scattering Amplitude**

• Interference in far field



## Form factor and structure factor: Fourier transform

Single particle: Fourier transformation

0

$$A(\vec{Q}) = \int \rho_P(\vec{r}) e^{-i\vec{Q}\vec{r}} dV = \int \rho_P(\vec{r}) e^{-i\vec{Q}\vec{r}} d^3\vec{r}$$

Particle distribution function  $G(\mathbf{r}) \rightarrow$  Electron density distribution

$$\rho(\vec{r}) = \sum_{i} \rho_{P}(\vec{r}_{i}) = \int \rho_{P}(\vec{r}') G(\vec{r} - \vec{r}') d^{3}r' = \rho_{P}(\vec{r}) * G(\vec{r})$$

→ Scattering amplitudes of the whole arrangement

$$A(\vec{Q}) = \int \rho(\vec{r})e^{-i\vec{Q}\vec{r}}dV = \int [\rho_P(\vec{r}) * G(\vec{r})]e^{-i\vec{Q}\vec{r}}d^3\vec{r}$$
$$= \int \rho_P(\vec{r})e^{-i\vec{Q}\vec{r}}dV \cdot \int G(\vec{r})e^{-i\vec{Q}\vec{r}}dV$$

→ Scattered Intensity

$$I(\vec{Q}) = \frac{1}{V} |A(\vec{Q})|^2 = P(\vec{Q})S(\vec{Q})$$

Form factor Structure factor



0

0

0

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# **Two-phase model: Dilute systems**

- > Only form of particle relevant
- > Matrix *M*, volume fraction  $\Phi$ Particles *P*, volume fraction (1- $\Phi$ ) Electron density:  $\rho_{M,P} = n_{M,P} * f_{M,P}$

 $f_{M,P}$ : atomic form factor ("extension of the electron cloud", resonances)  $n_{M,P}$ : number density of atoms

> Consider  $\rho_{M,P}$  as constant resp.



ASAXS

# **Two phase Model**

• Scattering amplitude:

$$A(\vec{Q}) = \int \rho(\vec{r}) e^{-i\vec{Q}\vec{r}} d^3\vec{r} = \int_{\Phi V} \rho_M(\vec{r}) e^{-i\vec{Q}\vec{r}} d^3\vec{r} + \int_{(1-\Phi)V} \rho_P(\vec{r}) e^{-i\vec{Q}\vec{r}} d^3\vec{r}$$
$$A(\vec{Q}) = (\rho_M - \rho_P) \int_{\Phi V} e^{-i\vec{Q}\vec{r}} d^3\vec{r}$$

$$A(\vec{Q}) = \Delta \rho \int_{\Phi V} e^{-i\vec{q}\vec{r}} d^3\vec{r}$$

- $I(\vec{Q}) = \frac{1}{V} |A(\vec{Q})|^2 \sim \Delta \rho^2$
- Porod Invariant Q (Porod, 1982):  $Q = \int I(\vec{Q}) d^3 Q = 4\pi \Phi (1 - \Phi) \Delta \rho^2$
- Only dependent on density contrast  $\Delta \rho$

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- Example III Chocolate
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# **Two phase Model – single particle approximation**

- > Amplitude:  $A(\vec{Q}) = \Delta \rho \int_{\Phi V} e^{-i\vec{Q}\vec{r}} d^3\vec{r}$
- > Intensity:  $I(\vec{Q}) = \frac{1}{V} |A(\vec{Q})|^2$
- > Closer look at I(q) for dilute systems:  $N_P$  independent scatterers



# **Two phase Model – single particle approximation**

- > Amplitude:  $A(\vec{Q}) = \Delta \rho \int_{\Phi V} e^{-i\vec{Q}\vec{r}} d^{3}\vec{r}$
- > Intensity:  $I(\vec{Q}) = \frac{1}{V} |A(\vec{Q})|^2$
- > Closer look at I(Q) for dilute systems:  $N_P$  independent scatterers
- > Incoherent sum of intensities:

$$I_{m}(\vec{Q}) \sim N_{P} V_{P}^{2} \Delta \rho^{2} \left| \frac{1}{V_{P}} \int_{V_{P}} e^{-i\vec{Q}\vec{r}} d^{3}r \right|^{2}$$

$$P(Q) = \left| 3 \frac{\sin(QR) - QR\cos(qR)}{(QR)^{3}} \right|^{2}$$
- Form factor of a sphere of radius *R*

- Isotropic scattering

 $\mathbf{n}$ 

### 10 10 0.1 0.01 1 0.001 *b(dK)* 0.0001 0.1 1e-005 1e-006 0.01 1e-007 10 1 P(qR)qR 0.001 0.0001 1e-005 1e-006 1e-007 10 15 20 0 5

qR

# **Colloid: homogeneous sphere of radius R**

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# **Comparison of SAXS pattern**

Influence of different shapes



Als-Nielsen, McMorrow, "Elements of modern X-ray Physics", Wiley, 2010

# **Guinier Approximation**

- $Q \rightarrow 0$
- Homogenous sphere of radius R ٠

$$P(Q) = \left| 3 \frac{\sin(QR) - QR\cos(QR)}{(QR)^3} \right|^2 \sim 1 - \frac{1}{5} Q^2 R^2 \sim \exp(-\frac{1}{5} Q^2 R^2)$$

Radius of gyration: ٠ rms distance from the particle's center of gravity:  $R_g$ 

• 
$$R_g^2 = \frac{\int_{V_p} \rho(r) r^2 dV}{\int_{V_p} \rho(r) dV}$$

- Sphere $R_g = \sqrt{3/5} R$   $P(q) \sim \exp(-\frac{1}{3}q^2R_g^2)$  general form of Guinier law [Guinier (1955)]
- Independent of particle form







## Porod's law: large q



> No shape dependance

# The structure factor – many particles, close distance

- Real systems: not dilute, many particles...
- Generalisation of Bragg's Law in crystallography: I(q) = c P(q) S(q)

Form factor

Structure factor

Interference due to assembly of particles



- Periodic ordering with periodicity  $d, \xi$  in the electron density :
- I(q) shows a corresponding maximum at  $q=2\pi/(D_{max},\xi)$   $S(q) \propto \frac{1 - \exp(-2\sigma_D^2 q^2)}{1 - 2\exp(-\sigma_D^2 q^2)\cos(qD_{max}) + \exp(-2\sigma_D^2 q^2)}$ Smearing Distance of particles Lode et al., Macromol. Rapid Commun. 19, 35 (1998) Roth et al., J. Appl. Cryst. 36, 684 (2003)

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# The structure factor – many particles, close distance

- Real systems: not dilute, many particles...
- Generalisation of Bragg's Law in crystallography:
   I(q) = c P(q) S(q)
- Examples: *R*=5nm,  $D_{max}$ =100nm, 25nm,  $\sigma_D/D_{max}$ =25%



# **Structure factor and form factor**

- *D<sub>max</sub>*=25nm *D<sub>max</sub>*=10nm
- $\sigma_D = 5$  nm, 1nm, 0.1nm
- $S(q) \rightarrow 1$   $q \rightarrow \infty$ well separated particles



# **Colloidal systems**

> Latex spheres in water

I(q) = c P(q) S(q)







- Gaussian distribution of particle sizes
- Shift in maximum: Decreasing distance

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# Illustration

- > USAXS at photonic crystals
- > USAXS in highly concentrated colloidal suspensions



http://lamp.tugraz.ac.at/~hadley/ss1/emfield/photonic\_crystals/photonic\_tabl e.html

Courtesy: V. Boyko (BASF) DESY. Introduction to small-angle scattering | Stephan V. Roth, 13.05.2019

# **SAXS collimation and scattering geometry**



# Example: P03 / MiNaXS @ PETRA III, DESY



# Impression @ P03

- Adjust scattering angles
   ↔ dΩ
   ↔q-ranges
- 5cm<D<sub>SD</sub><8.6m
- Highly flexible
- Separate WAXS device
- GISAXS / GIWAXS









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# **Aerogels**

- Highly porous materials:
  - OLED: matching of refractive indices
  - molecular sieves
  - sensors
- Challenges
  - Generation of pores with dimensions greater than 100 nm, yet submicron
  - Characterization of size



# **Quantitative analysis**

Monomodal distribution of particles

• 
$$I(Q) = \Delta \varrho^2 N \int_0^\infty V_P^2(R) P(R) * D(R) dR$$

$$P(q, r) = \frac{9}{(qR)^6} [\sin(qR) - qR\cos(qR)]^2$$
(7)

A first choice for the object size distribution is assuming a Schulz–Zimm distribution<sup>43</sup>

$$D(R) = \frac{1}{\Gamma(\beta)} \left(\frac{\beta}{\omega}\right)^{\beta} R^{\beta-1} \exp\left[-\frac{\beta R}{\omega}\right]$$
(8)

with  $\omega$  being the average radius,  $\sigma = \beta^{-1/2}$  being the standard deviation with the probability  $\beta$ , and  $\Gamma$  denoting the gamma function. The distribution is normalized such that

$$\int_0^\infty D(R) \, \mathrm{d}R = 1 \tag{9}$$

Eggers, Roth et al., Langmuir 24, 5887 (2008)



# Quantitative analysis Monomodal distribution of particles

- $I(Q) = \Delta \varrho^2 N \int_0^\infty V_P^2(R) P(R) * D(R) dR$ •
- Porod law: •
  - Particle size ~ 360 nm •



Eggers, Roth et al., Langmuir 24, 5887 (2008)

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# **Quantitative analysis**

6

5

4

3

2

1

0

10-2

5

4

1

0

resolution

log(Int) N C

Intrusion volume (mL/g)

CONCERCENCENCE

10

Not modelled!

q (nm<sup>-1</sup>)

10-1

**Bimodal distribution particles** 

 $I(Q) = \Delta \varrho^2 N \int_0^\infty V_P^2(R) P(R) * D(R) dR$ 

Influence of SAXS resolution

Porod law:

- Particle size ~ 30nm ٠
- Pore size estimate >1600nm<sup>b)</sup> 6

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Eggers, Roth et al., Langmuir 24, 5887 (2008)



100

Pore Diameter (Acosts

Drilogal (corg

50.

**Pore Size Distribution** (BJH method Ads branch)

1.5

1

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# The drying droplet

- Self-organisation: attractive capillary forces
- correlated nano-structures
- industrial processes
  - spray drying (see also GISAXS part)
  - food processing, pharmaceuticals
  - · Paintings/coatings



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http://www.spray.com/markets\_and\_applications/food.aspx

# **Porod Invariant - practical application**

• Colloidal solution: drying thick droplet



- Evaporation of water:
  - Irradiated volume becomes smaller: shrinking
  - Distance of colloidal partices decreases,  $\Phi \rightarrow 1$
  - $\Delta \rho$  increases (air!), as water removed from interstitial sites



# The drying droplet

- > Slow / fast drying
- > Concentration of colloids:
  - Arresting of colloids
  - Homogenous
  - Core shell effect (,coffee ring')

### > Follow concentration profile in-situ



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Sen, Roth et al., Soft Matter 10, 1621 (2014) Page 36

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- A real multicomponent system
- Nestlé, TU HH, DESY
- Fat Blooming pathways



Continuous fat phase:



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Reinke, Roth et al., ACS Appl. Mater. Interfaces 7, 9929 (2015) Page 38



Reinke, Roth et al., ACS Appl. Mater. Interfaces 7, 9929 (2015) Page 39

A real multicomponent system



Reinke, Roth et al., ACS Appl. Mater. Interfaces 7, 9929 (2015)

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A real multicomponent system ٠



Superposition of SAXS contributions ٠

Migration: filling of voids by oil: Q decreases •



Reinke, Roth et al., ACS Appl. Mater. Interfaces 7, 9929 (2015)

Skim milk powder

Cocoa butter

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- Peak intensities
- Pores, cracks: capillary effect
- Then: "chemical migration through the fat phase by softening and partial dissolution of the crystalline cocoa butter."
- reduction of porosity and a minimization of defects
- a reduced content of noncrystallized liquid cocoa butter
- b or c





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•

ExaExample VII – Contrast variation using deuteration and D<sub>2</sub>O

# **Microfluidics – orientation of CNF**

- Example: Orientation of CNF in a microfludic device
- Gurp: The use of rotation matrices in the mathematical description of molecular orientations in polymers



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# **Microfluidics – orientation of CNF**

- Example: Orientation of CNF in a microfludic device
- Gurp: The use of rotation matrices in the mathematical description of molecular orientations in polymers
- Distribution function f(β)

$$f(\beta) = \sum_{i=0}^{\infty} a_i P_i(\cos \beta)$$

 Average of all possible orientations

$$\langle A \rangle = \int_{0}^{\pi} A(\beta) f(\beta) \sin \beta d\beta$$

Gurp, Colloid Polym. Sci. 273, 607–625 (1995)

Legendre polynomials  

$$P_0(\cos \beta) = 1$$
  
 $P_1(\cos \beta) = \cos \beta$   
 $P_2(\cos \beta) = 1/2(3\cos^2 \beta - 1)$ 

$$S = \left\langle \frac{3}{2} \cos^2 \varphi - \frac{1}{2} \right\rangle$$
$$S = \int_{-\infty}^{\pi} I(\varphi) \left( \frac{3}{2} \cos^2 \varphi - \frac{1}{2} \right) \sin \varphi \, d\varphi$$
$$\int_{-\infty}^{\pi} I(\varphi) \sin \varphi \, d\varphi = 1$$



Håkansson, Roth et al., Nat. Commun. 5, 4018 (2014)

# **Microfluidics – orientation of CNF**







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# **Precipitate scanning in Ni-base super alloys**

- Ni-base W-rich experimental single crystal superalloy (Ni-4.6Al-6.4Ta-5.7Cr-10.8W-2.1Mo)
- Ni-Al solid solution *Matrix* ( $\gamma$ ), fcc
- **Precipitates** ( $\gamma' \rightarrow AI,...$ ), Ni<sub>3</sub>(AI,Ti)
- TEM:  $\gamma$ '-precipitates R > 50 nm
- D > 100 nm





**DESY.** Introduction to small-angle scatter

# Precipitate scanning in Ni-base super alloys



# **Precipitate scanning in Ni-base super alloys**



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# **Contrast variation SAXS**

- Contrast variation
- $f(Q,\omega)=f_0(Q)+f'(\omega)+f''(\omega)$
- Synchrotron: variation of energy

Resonant terms  $I(q) = NF(q)F^*(q) = N(F_0^2(q) + 2f'F_0(q)N_R(q) + (f'^2 + f''^2)N_R^2(q))$ 

- Resonance:
- Difference in f  $\rightarrow$  contrast: I ~  $|\Delta \rho|^2 \sim |\mathbf{f}_P - \mathbf{f}_M|^2 \times ...$
- f' decrease  $\rightarrow$  I(Q) decreases



# **Contrast variation SAXS** $I(q) = NF(q)F^*(q) = N(F_0^2(q) + 2f'F_0(q)N_R(q) + (f'^2 + f''^2)N_R^2(q))$

Fe2O3 (1 mol%) nanoparticles in MgHx matrix

Scattering contribution from iron oxide NP: 'separated scattering'



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# What is a Neutron (n<sup>0</sup>)?

### For particle physicists:

- A subatomic baryon particle of the hadron family.
- Consists of three quarks (2 down & 1 up) of different flavours held together by gluons.





### For neutron scatterers:

- A neutral S = ½ particle used as an optimal tool to investigate microscopic / macroscopic materials / device properties.
- "Can show where atoms are and what they do" + magnetism

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# **Neutron properties**

### **NEUTRAL**

Charge =  $0 \rightarrow$  infinitely small elec. dipole moment, neutrons do not see charge!

### HAS A SPIN

 $S = \frac{1}{2} \rightarrow$  Initial state can be polarized & polariz. of final state can be analyzed!

### HAS A MAGNETIC MOMENT

 $\mu_{n0}$  = -1.913  $\mu_{Nuc} \rightarrow$  neutrons can see magnetism !!!

### **RATHER STABLE**

 $\beta$ -decays but lifetime  $\tau$  = 881.5 seconds (enough to survive the experiment!)

#### **VERY SMALL**

Confinement radius  $R = 7 \times 10^{-14}$  m  $\rightarrow$  All interactions are point-like!

### **'IDEAL' MASS**

	'Lingo'	E [meV]	λ [nm]
$m_{n0} = 1.675 \times 10^{-1} \text{ kg } \approx m_{p^+} \approx 1840 \times m_{e^-}$ <u>PARTICLE- &amp; WAVE-LIKE PROPERTIES</u> Dispersion relation: $E = h k^2 / 2m$	Cold	0.1–5	3–0.4
	Thermal	5–100	0.4–0.1
$\lambda = 5 \text{ Å} \rightarrow \text{E} = 3.3 \text{ meV}$	Hot	100–500	0.1–0.04
	1 mart	All 31	

Neutron wavelengths/energies are perfect for studying material properties from Ångström to mm + dynamics!!!

# **Scattering of neutrons**

- Point-interaction with nuclei (not only e)
- Possible to investigate also light elements, *e.g.* Hydrogen, which is more or less impossible with x-rays
- "Soft Probe" = no risk for degradation of delicate samples (c.f. X-rays + bio)
- Neutral particle that penetrates probe bulk (intrinsic material) properties as well as buried structures. [surface vs. bulk!!!]

 $\mathbf{Q} = (\mathbf{k}_{i} - \mathbf{k}_{f})$  $E = \hbar\omega = \hbar^{2}(\mathbf{k}_{i}^{2} - \mathbf{k}_{f}^{2}) / 2m$ 

 If the scattering occurs without any loss of neutron energy ( *E* = 0 i.e. |k<sub>i</sub>| = |k<sub>f</sub>|) this is called Elastic Neutron Scattering



Courtesy: M. Mansson, KTH

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# **Scattering length**

![](_page_60_Figure_1.jpeg)

# **Contrast variation**

.

![](_page_61_Figure_1.jpeg)

Hollamby, Phys.Chem.Chem.Phys.15, 10566 (2013)

$$\langle b \rangle = x b_{\rm D} + (1 - x) b_{\rm H} - b_0$$
  
 $I(q) = (b_{\rm D} - b_{\rm H})^2 x (1 - x) N z^2 P(q)$ 

Benoît, Higgins, "Polymers and neutron scattering", Oxford Science Publications, Oxford, 1996 DESY. Introduction to small-angle scattering | Stephan V. Roth, 13.05.2019

- Example: ٠ Polystyrene  $(C_8H_{8-x}D_x)_n$  in toluene  $(C_7D_8)$
- Concentration PS: 8%

![](_page_61_Figure_7.jpeg)

King et al., Macromolecules 18, 709 (1985)

### **Cellulose Nanofibrils in D<sub>2</sub>O** SANS & SAXS

Mao et al., J. Phys. Chem. B 121, 1340 (2017)

![](_page_62_Figure_2.jpeg)

a (nm)/SANS	a (nm)/SAXS	b (nm)/SANS	b (nm)/SAXS
2.7 (0.18)	3.5 (0.45)	22.0 (0.37)	22.3 (0.40)
$2.7 (9.2 \times 10^{-2})$	3.1 (0.36)	20.8 (0.21)	24.0 (0.31)
$2.9 (3.9 \times 10^{-2})$	3.0 (0.31)	19.4(0.12)	24.8 (0.27)

![](_page_62_Figure_4.jpeg)

# **Summary**

Introduction to small-angle scattering – the use of X-rays and Neutrons

- Determination of nanoscale lengths, shape and orientation
- Guinier radius, Porod law, Porod constant, Orientation
- Kinetics: Drying, microfluidics (orientation), ...
- SAXS/SANS: identical quantitative results
- Contrast variation

![](_page_64_Picture_0.jpeg)

![](_page_64_Picture_1.jpeg)

**GISAXS 2019** 

organized by

S.V. Roth (DESY, KTH)

P. Müller-Buschbaum (TU München)

![](_page_64_Picture_2.jpeg)

![](_page_64_Picture_3.jpeg)

![](_page_64_Picture_4.jpeg)

# November 20-22, 2019

### Keynote speakers

Harald Ade, Northwestern University (US) Alexander Hexemer, ALS, Berkeley (US) Moonhor Ree, POSTECH (KOR) Frank Schreiber, Univ. Tübingen (GER)

### **Invited speakers**

Philippe Fontaine, SOLEIL, Gif-Sur-Yvette (FRA) Philipp Gutfreund, ILL, Grenoble (FRA) Emanuel Kentzinger, FZ Jülich (GER) Peter Müller-Buschbaum, TU München (GER) Gennady Pospelov, JCNS (GER) Adrian Rennie, Univ. Uppsala (SWE) Stephan V. Roth, KTH, Stockholm, & DESY, Hamburg (SWE&GER) Mark Rutland, KTH, Stockholm (SWE) Matthias Schwartzkopf, DESY, Hamburg (GER) Peter Siffalovic, Slovak Academy of Sciences, Bratislava (SK)

Keynote & Invited lectures, contributed poster sessions, visit to PETRA III & hands-on training: From the effective interface approximation to theory and simulation

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