

PSI

Center for Neutron and
Muon Sciences

Cryogenics I

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5th ISSE Training School Lund, 20 January 2026

Cooling mechanisms

- Cooling with liquid and vapour
- Latent heat and vapour pressure
- Cooling by evaporation
- Cooling by vapour
- Cooling with gas
- Joule Thomson effect
- Heatpumps - Stirling cooler
- GM cooler

Heat transfer

- Conduction
- Radiation
- Convection

Cryostats

- Cold finger cryostats radiative heat load
- Flow cryostats
- Cold finger closed cycle
- Top loading cryostats
- Top loading closed cycle
- Joule Thomson top loading CCR
- Orange Cryostat

Cooling with Liquid and Vapour

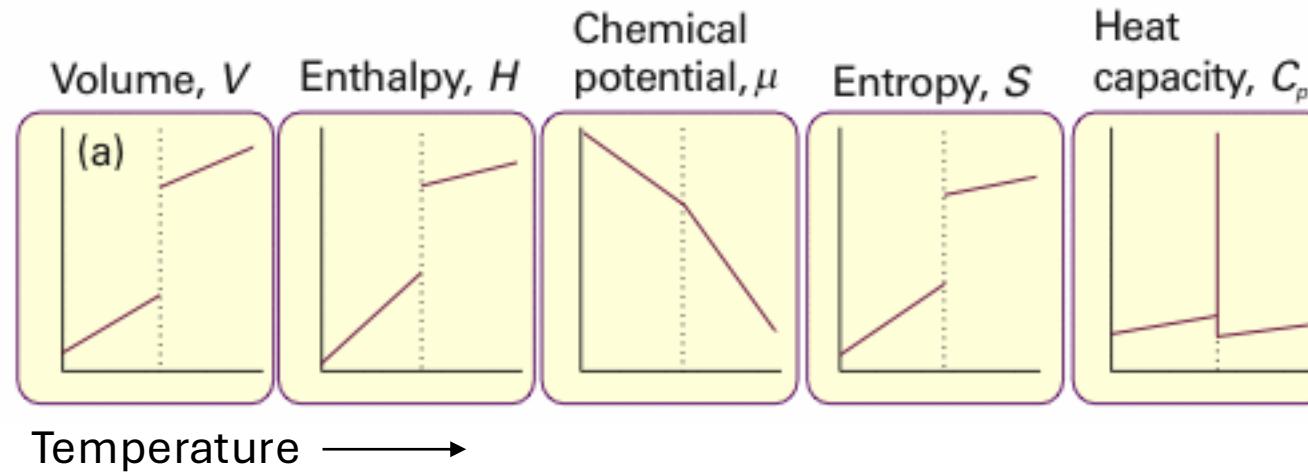
Cooling with Cryogens

- Utilize cryogenic liquids for cooling
- Cryogenic liquids are liquids with a boiling point below approximately -150°C

| Fluid | Freezing (K) | Boiling (K) |
|----------|--------------|-------------|
| Helium-4 | | 4.214 |
| Hydrogen | 14.01 | 20.27 |
| Neon | 24.5 | 27.09 |
| Nitrogen | 63.15 | 77.36 |
| Argon | 83.81 | 87.24 |
| Oxygen | 54.3 | 90.18 |

How can a boiling liquid be used for cooling?

Latent heat of evaporation



Temperature →

liquid to gas transition requires heat transfer from surrounding.

Energy required to free molecules
or atoms from bonds

Enthalpy of evaporation

$$L = \Delta H = \Delta U + p\Delta V$$

Change of
internal energy

Work done by
expanding gas

Energy required/released to
change the entropy of the
system

$$L = (\Delta S_{\text{gas}} - \Delta S_{\text{liquid}})T$$

Latent Heat of Helium and Nitrogen

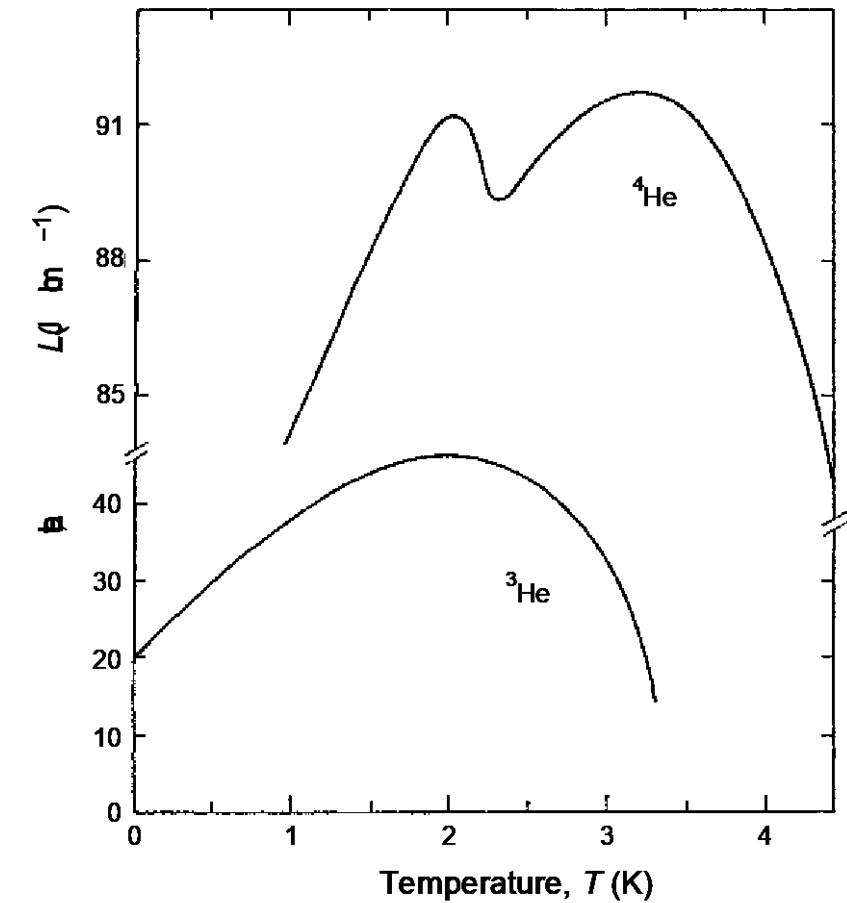


| prop. @ Norm.press | Nitrogen | Helium-4 | Helium-3 |
|-------------------------------------|----------|----------|----------|
| boiling point (K) | 77.3 | 4.22 | 3.19 |
| latent heat (J/g) | 198 | 20.9 | 7 |
| volume ratio Gas/liq | 694 | 750 | 750 |
| liquid density (g/cm ³) | 0.808 | 0.125 | 0.055 |

Atkins' Physical Chemistry, Enss Hunklinger, Low temperature Physics

A heat load of 1W to a He4 bath will result in an evaporation rate of 1.4l/l/h \rightarrow 1scm/h = 16 ln/min

3l of liquid Helium cools 100g of Cu from RT \rightarrow 4K



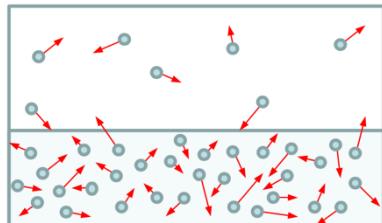
F. Pobell, Matter and Methods at Low Temperatures

Vapour pressure curve

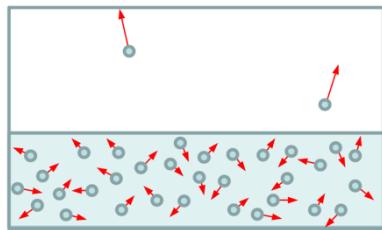


The high Art of baking at high altitude

<https://www.exploratorium.edu/cooking/icooks/article-3-03.html>



In a boiling liquid the pressure of the gas reaches saturation (Thermal equilibrium)



reducing the vapor pressure (pump)
→ Boiling point of liquid is reduced

$$T_{\text{boiling}} = \left(\frac{1}{T_0} - \frac{R \ln\left(\frac{p}{p_0}\right)}{L} \right)^{-1}$$

$$p_{\text{vap}} \propto e^{-L/RT}$$

$$\left[\frac{dp}{dT} \right]_{\text{vap}} = \frac{S_{\text{gas}} - S_{\text{liq}}}{V_{\text{m,gas}} - V_{\text{m,liq}}} = \frac{L}{T(V_{\text{m,gas}} - V_{\text{m,liq}})} \approx \frac{L}{TV_{\text{m,gas}}} = \frac{Lp}{RT^2}$$

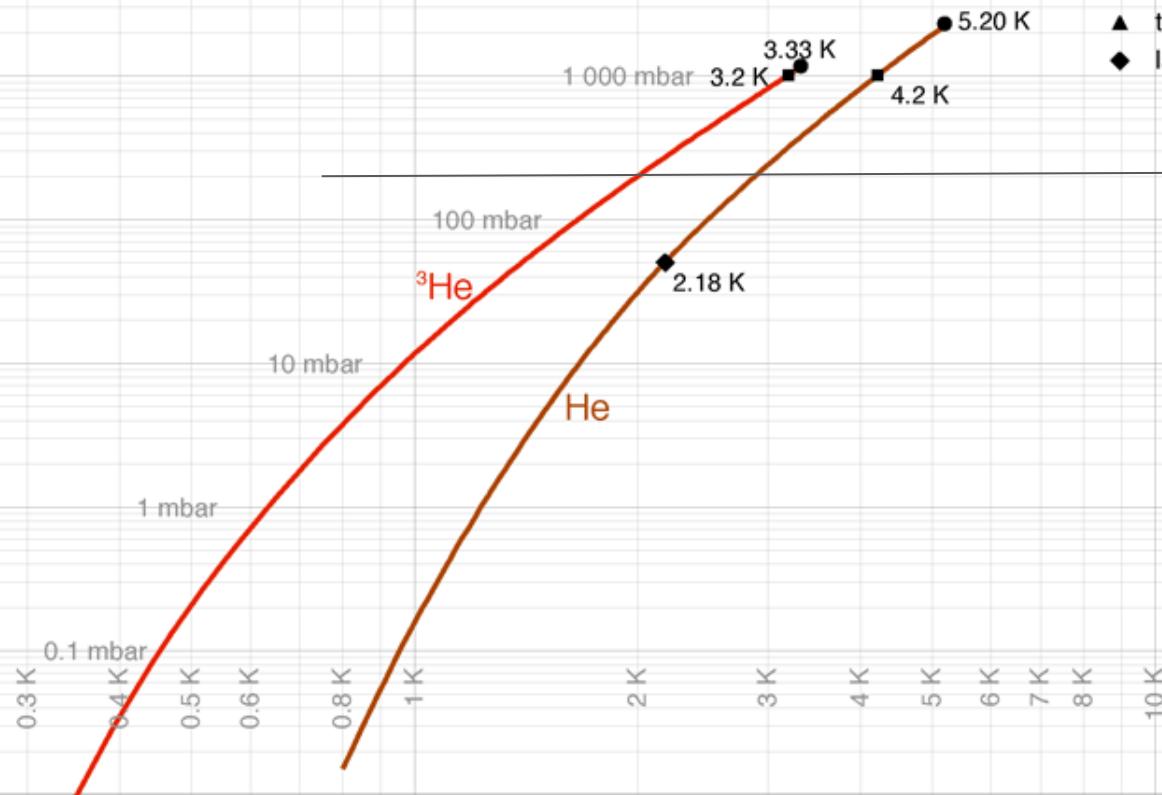
Clausius-Clapeyron

Vapour pressure curve

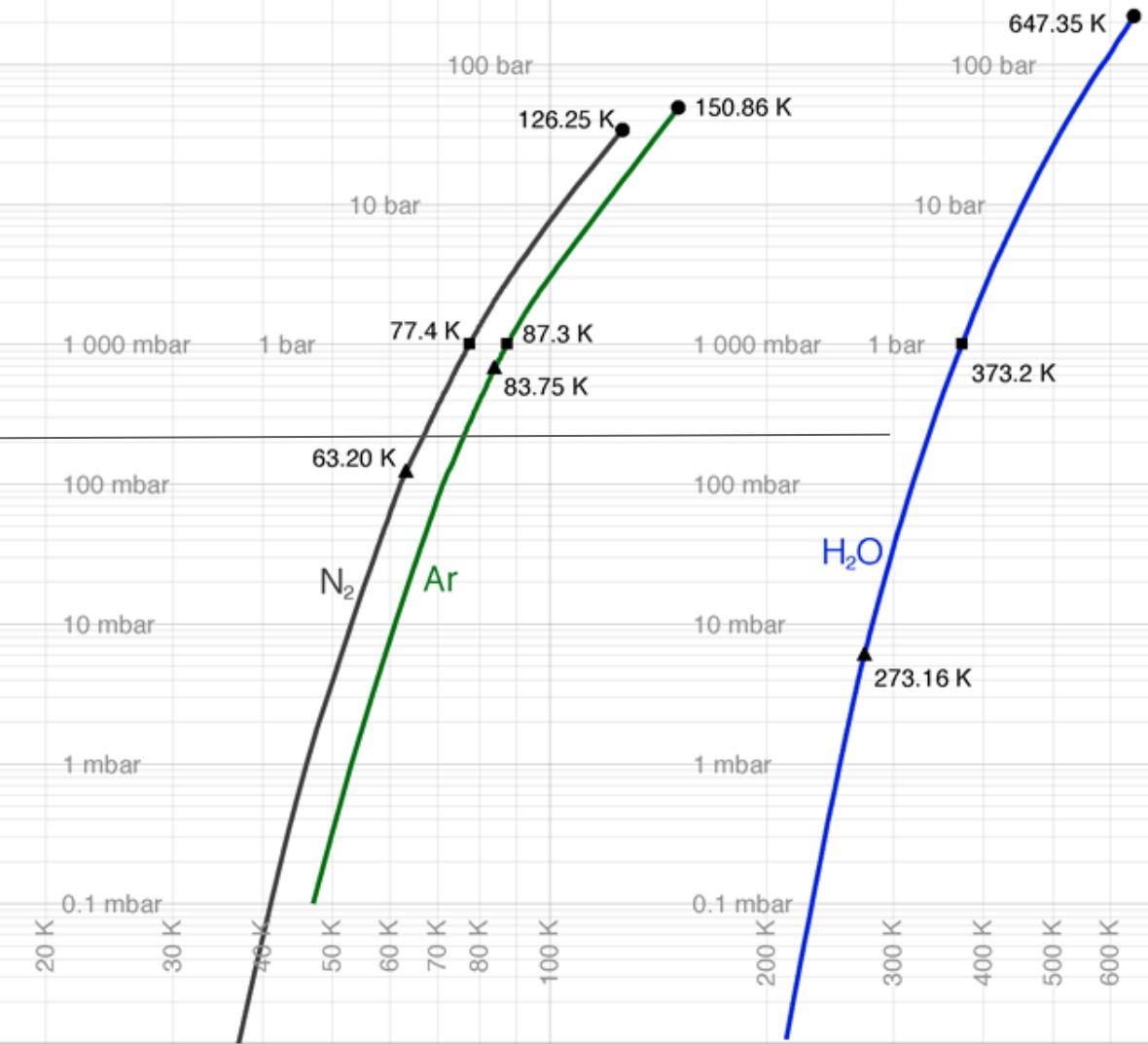


Vapor pressure of selected gases

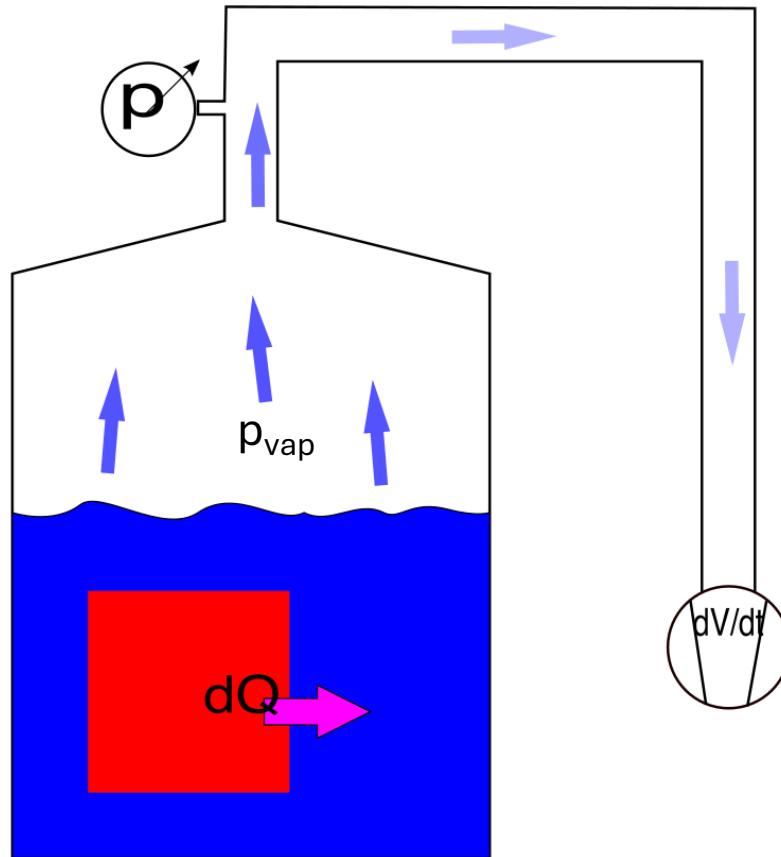
$$p \propto e^{-L/RT}$$



- Helium-3
- Helium-4
- Nitrogen
- Argon
- Water
- critical point
- boiling point
- ▲ triple point
- ◆ lambda point



Cooling by Evaporation



Cooling power

$$\dot{Q} = \frac{dQ}{dt} = \frac{dn}{dt} L \propto L p_{\text{vap}} \propto e^{-1/T}$$

Recap vacuum pumps:

$$\text{Pumping speed } S_0 = \frac{dV}{dt}$$

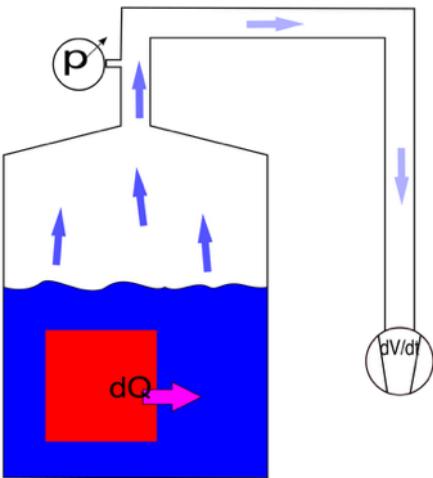
$$\text{Throughput: } q_{pV} = S_0 \cdot p = \frac{dV}{dt} p$$

Cooling power calculation

$$\frac{dQ}{dt} = \frac{dn}{dt} L$$

$$\frac{dn}{dt} = d\left(\frac{pV}{RT}\right)/dt = \frac{1}{RT} p \frac{dV}{dt}$$

Cooling by evaporation – Example



liquid 4He cooled cryostat
 $T = 1.7\text{K}$ cooling power 100mW

$$P_{\text{vap}} = 10\text{mbar}$$

$$\frac{dQ}{dt} = \frac{dn}{dt} L$$

$$\frac{dn}{dt} = d\left(\frac{pV}{RT}\right)/dt = \frac{1}{RT} p \frac{dV}{dt}$$

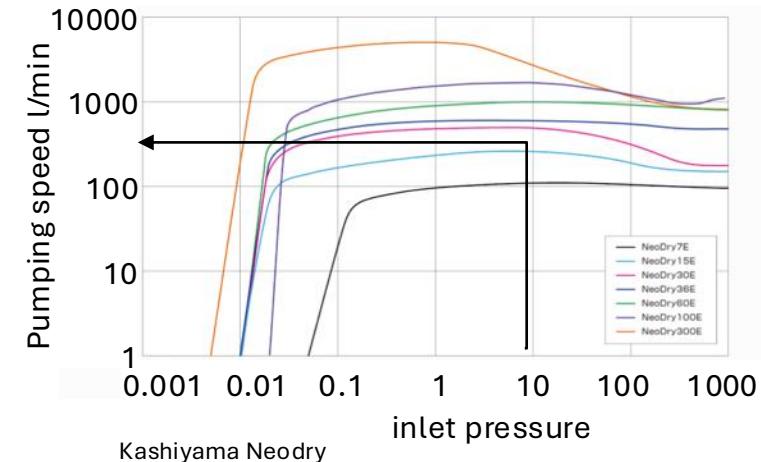
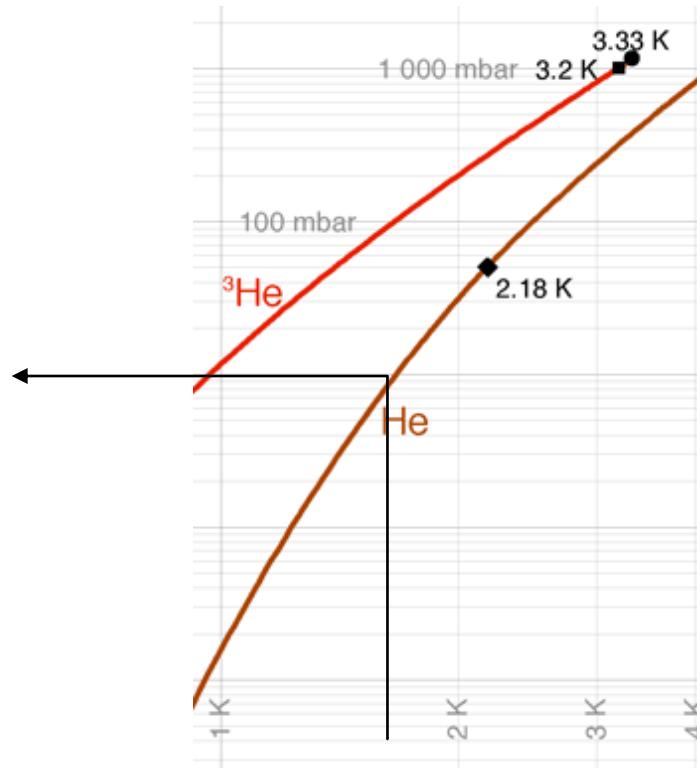
$$\dot{Q} = LM_{\text{He}} \frac{1}{RT} p_{\text{vap}} \frac{dV}{dt}$$

$$S_0 = \frac{\dot{Q} RT}{LM_{\text{He}} p_{\text{vap}}}$$

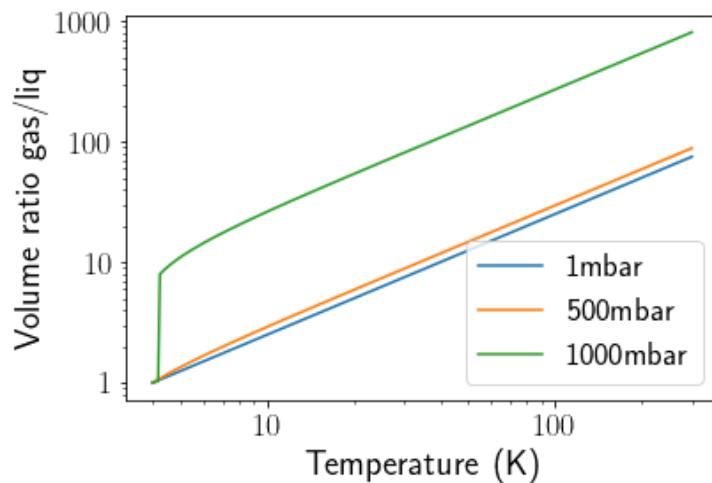
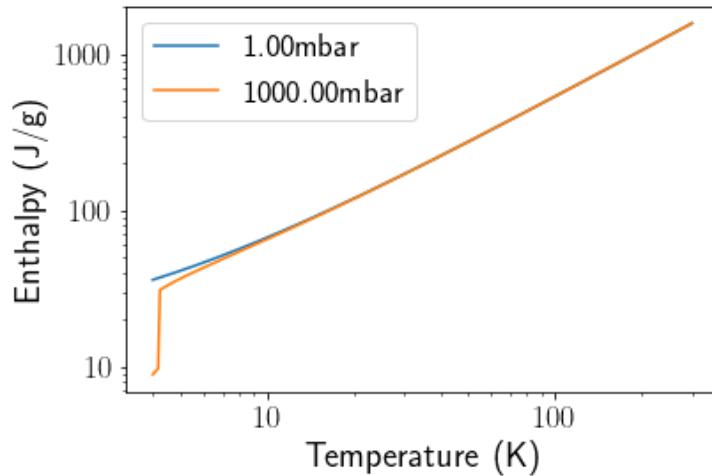
Pumping speed

$$S_0 = \frac{0.1 \text{ W} \cdot 8.314 \text{ J}(\text{molK})^{-1} \cdot 300 \text{ K}}{20 \text{ Jg}^{-1} \cdot 4 \text{ gmol}^{-1} \cdot 1000 \text{ Pa}}$$

$$S_0 = 11 \text{ scm/h} = 187 \text{ ln/min}$$



Cooling with Gas



$$L = 21 \text{ [J/g]}$$

$$H(T > 4.2 \text{ K}) = 5.2 T[\text{K}] + 15.1[\text{J/g}]$$

Liquid required to cool 1kg of material from 300K to 4K

| | Spec Heat integral [kJ] | He liq.liter Evap cooled | He liq.liter Enth cooled |
|-----------|-------------------------|--------------------------|--------------------------|
| Copper | 80 | 39 | 0.8 |
| Stainless | 92 | 35 | 0.9 |
| Al | 178 | 67 | 1.7 |

Slow cooling saves Helium and avoids trouble

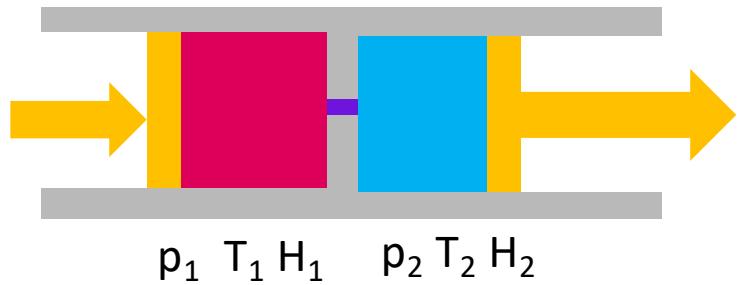
$$\frac{m_{\text{liq}}}{m_{\text{solid}}} = \int_{4.2}^{300} \frac{c_{\text{solid}}(T)}{5.2T + L} dT$$

Joule Thomson effect

isenthalpic expansion of a **real gas** or liquid

throttled gas expansion

will lead to a temperature change



$$H_1 = H_2$$

$$U_1 + p_1 V_1 = U_2 + p_2 V_2$$

work during expansion against inner energy

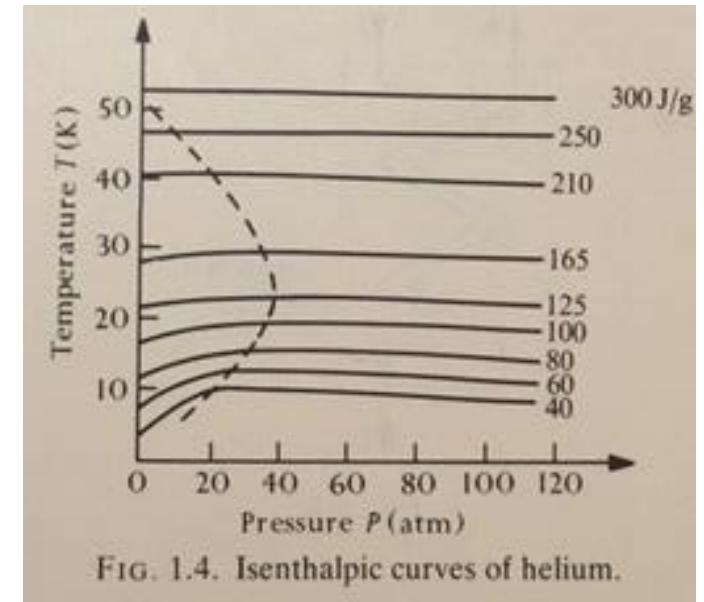
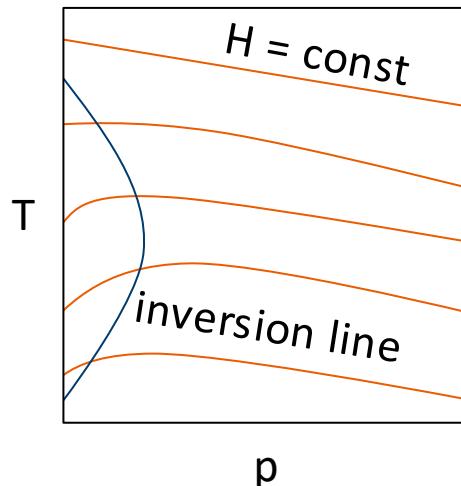
JT-coefficient

$$\mu = \left(\frac{\delta T}{\delta p} \right)_H$$

$\mu > 0$ cooling

$\mu < 0$ warming

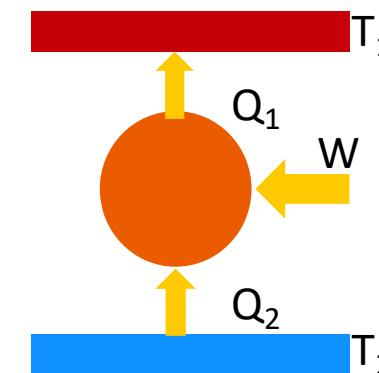
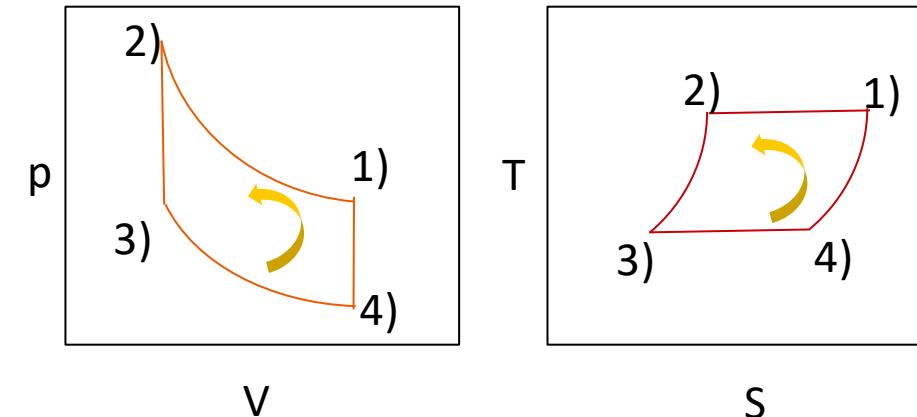
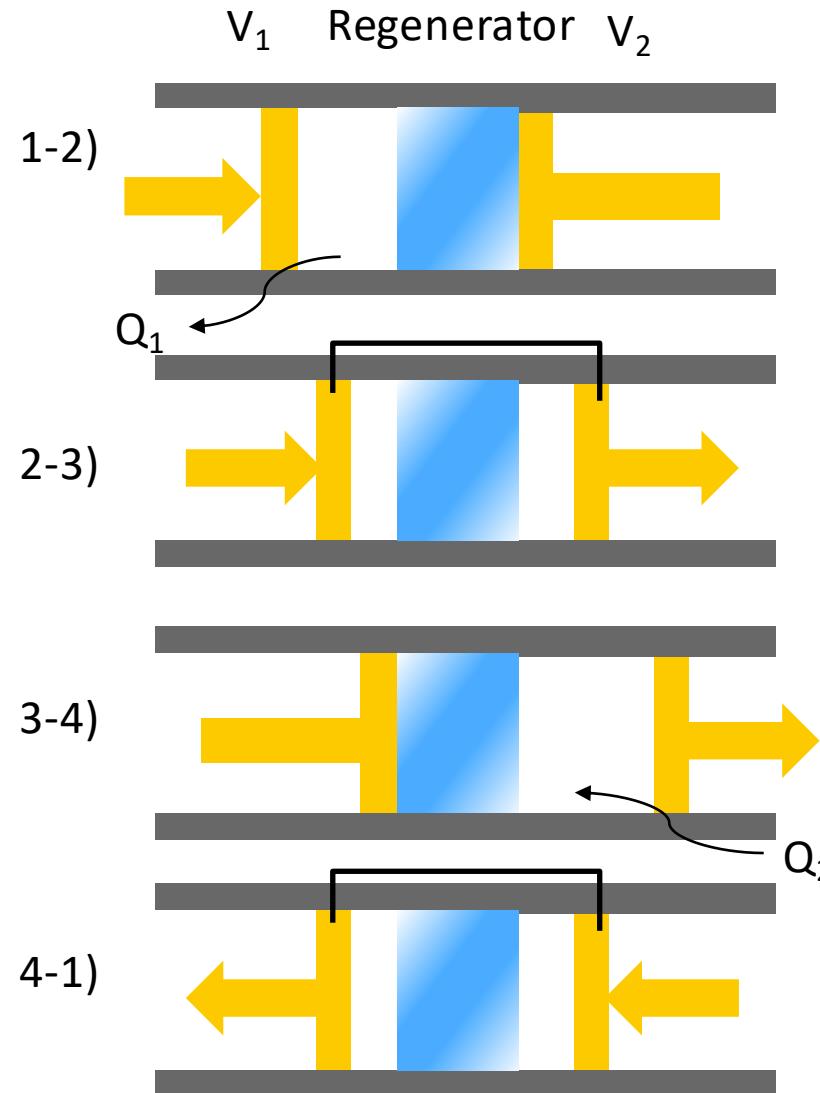
$$\begin{aligned} \mu_{JT} &= \frac{1}{c_p} \left[T \left(\frac{\partial v}{\partial T} \right)_p - v \right] \\ &\approx \frac{1}{c_p} \left(\frac{2a}{RT} - b \right) \end{aligned}$$



| Gas | max inv. Temp (K) |
|---------------|-------------------|
| Nitrogen | 621 |
| Argon | 794 |
| CO_2 | 1500 |
| Hydrogen | 205 |
| Helium | 45 |
| Neon | 250 |

Cooling Cycles

closed cycle refrigeration (stirling cooler)



Carnot Cycle

$$Q_1 = Q_2 + W$$

$$\frac{Q_1}{T_1} \geq \frac{Q_2}{T_2}$$

$$W \geq Q_2 \left(\frac{T_1}{T_2} - 1 \right)$$

$$T_2 = 4.5\text{K}$$

$$T_1 = 300\text{K}$$

$$Q_2 = 1\text{W} \rightarrow W > 66\text{W}$$

Stirling Cooler – Example

CryoTel® GT

16W Cryocooler



- Very compact
- Long lifetime
- Operates at 50Hz
- Large vibrations

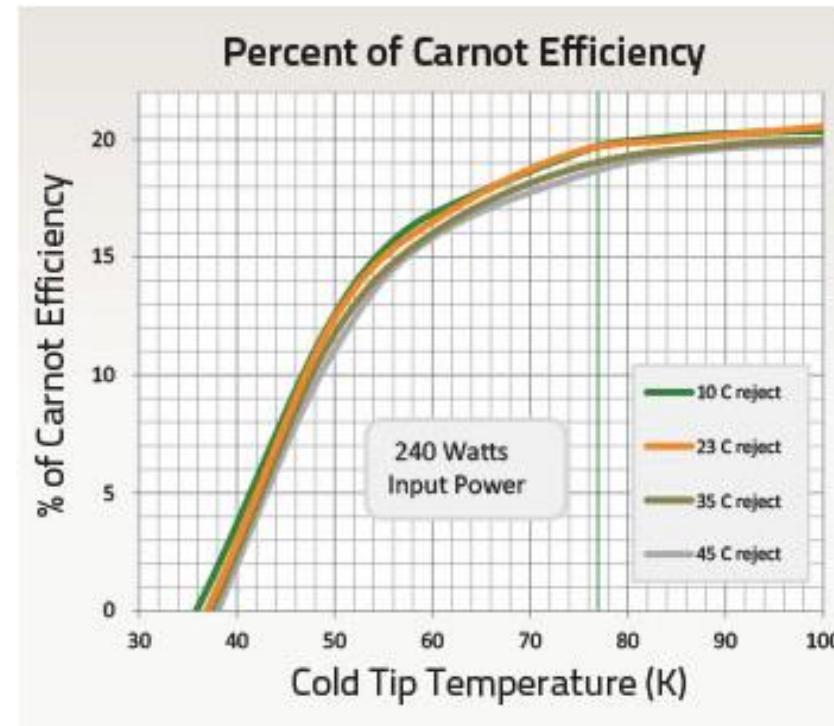
Cooling power@ 77K : 16W

Required input ideal process

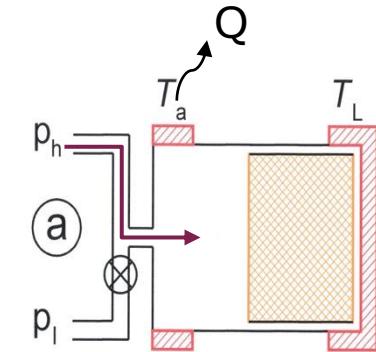
$$Q = 16W(300K/77K-1) = 46W$$

Actual power input: 240W

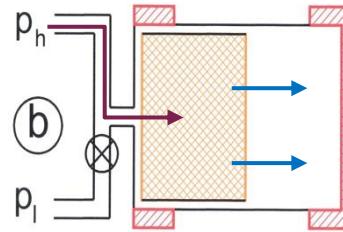
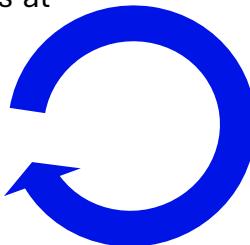
Efficiency : ~20% (46W/240W)



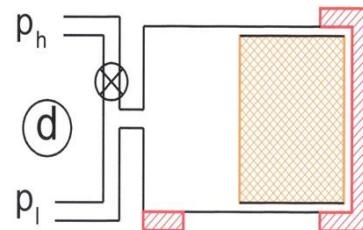
Gifford- McMahon Cycle



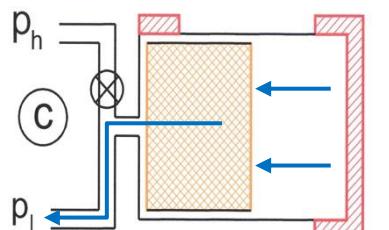
High pressure gas enters at warm end



Piston moves regenerator from lowT region
Gas passes and cools and V decreases
More gas flows from high pressure end



Regenerator moves to lowT region
Cold gas cools the regenerator



Gas expands from high pressure to low pressure Q
Expansion ideally isentropic
→ Cooling of the gas that remains

https://en.wikipedia.org/wiki/Cryocooler#/media/File:GM_Cycle_Cryocooler02.jpg

Characteristics

- Efficiency not very high
- Spatial separation of compressor and cold end
 - Use efficient high-speed compressor (50/60Hz) + low speed displacer (0.5-2Hz)
 - low vibration levels
- No low temperature seals or valves
- Well suited for multistage systems

Thermal Conduction

Thermal Conductivity

- transport property, the property of heat conduction
- sets the relation between a heat current density and the resulting temperature gradient

$$j = \dot{q} = -\kappa \frac{dT}{dx}$$

j : heat current per unit area [Wm^{-2}]
thermal conductivity [$\text{W}(\text{Km})^{-1}$]
in general, anisotropic (tensor)

$$\frac{\dot{Q}}{A} = -\kappa \frac{\Delta T}{l}$$

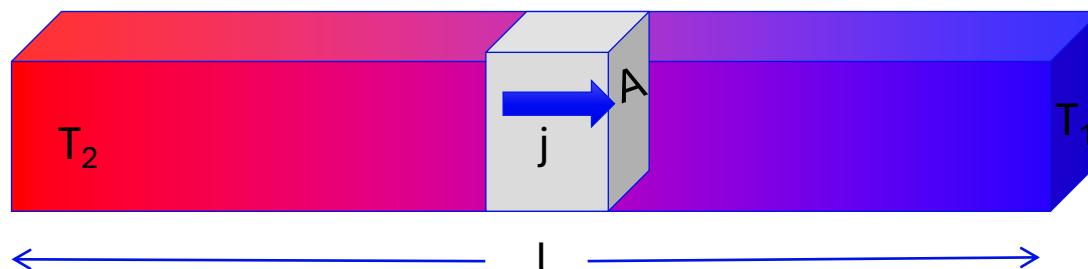
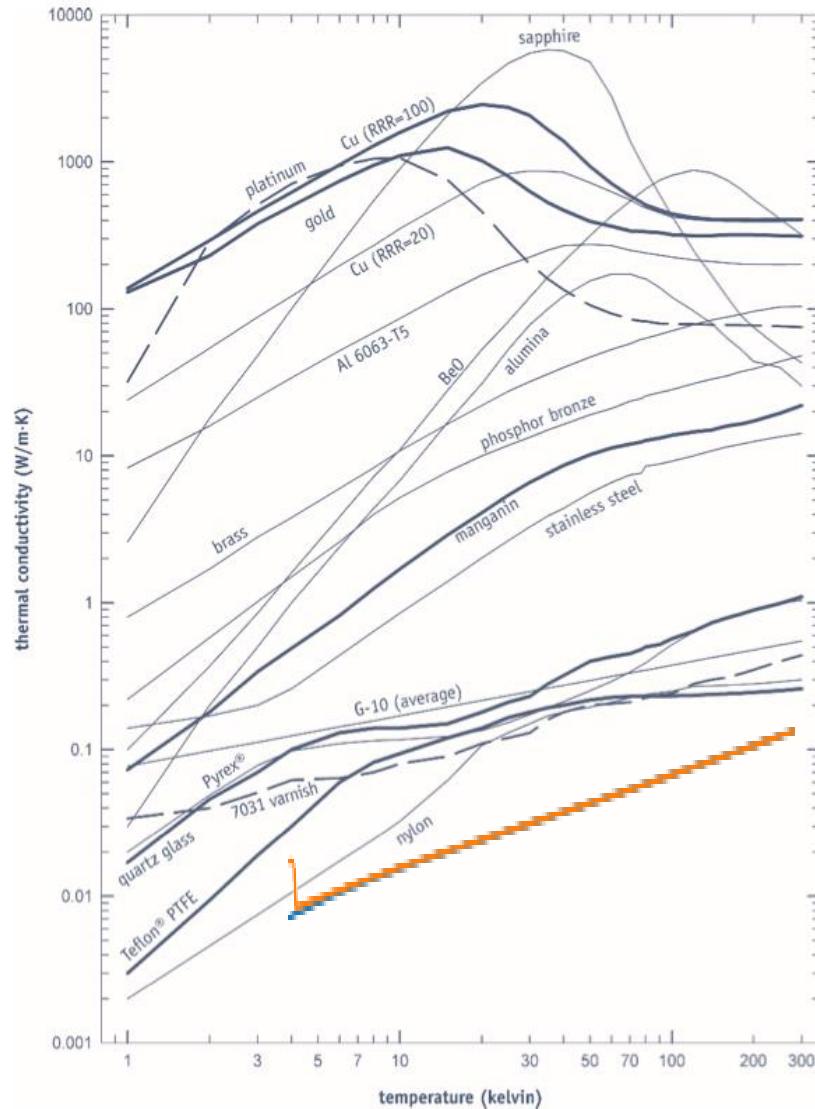
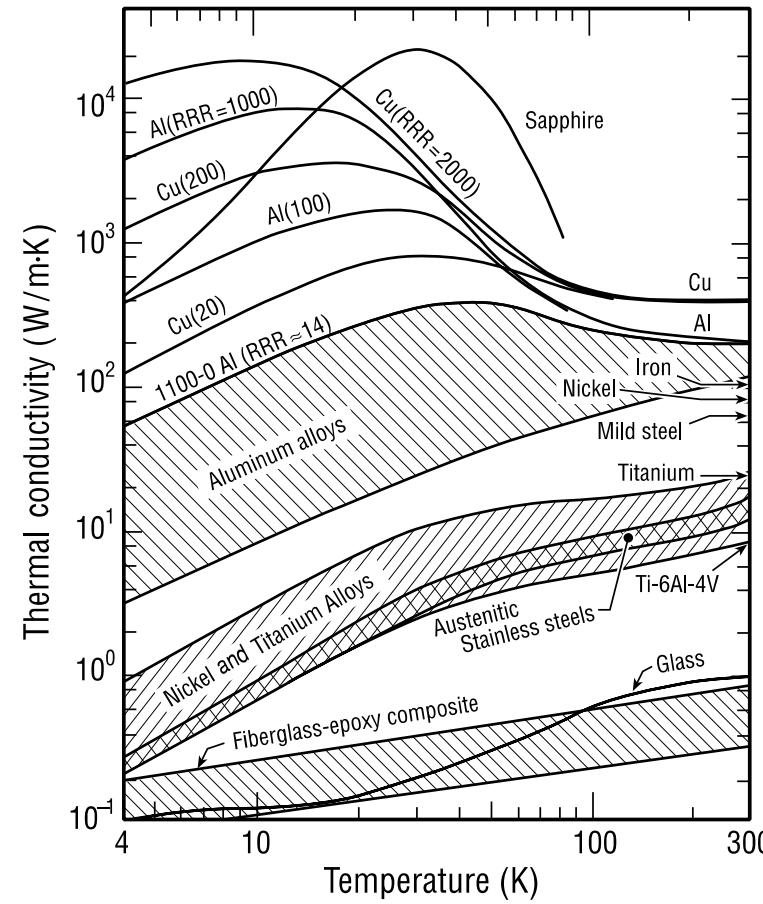
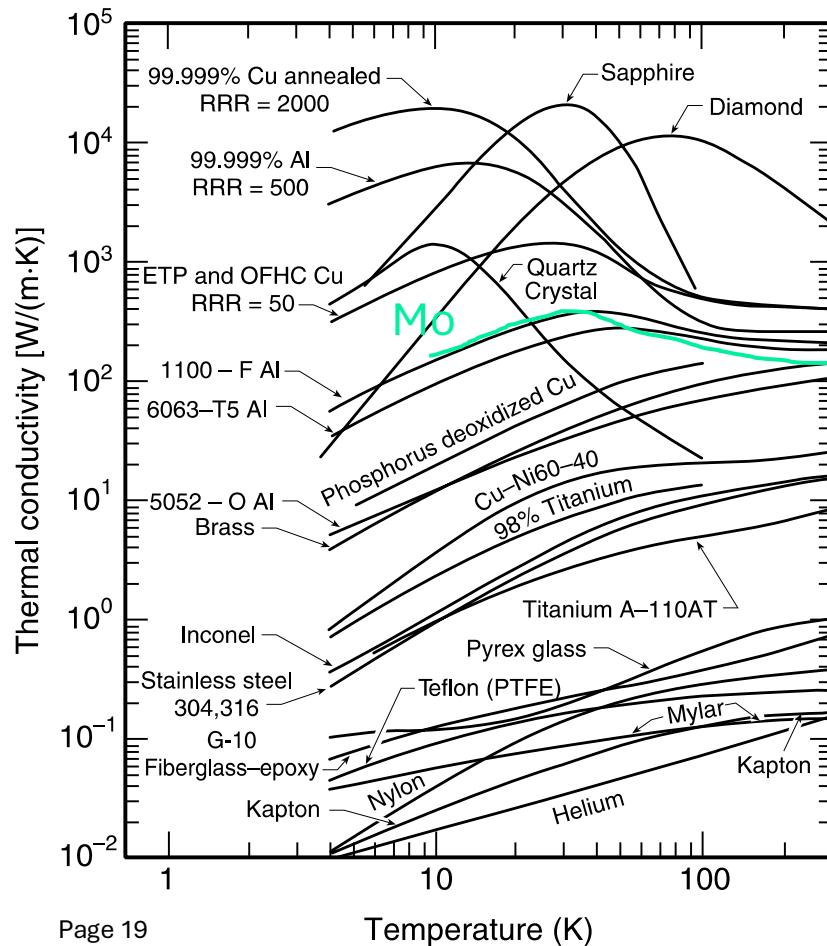


Figure 1—Thermal conductivity of selected materials



Thermal conductivity



Sapphire has a higher conductivity than copper in the range of 10-100K but can be used as thermal insulator at high or low temperatures



Thermal Conductivity



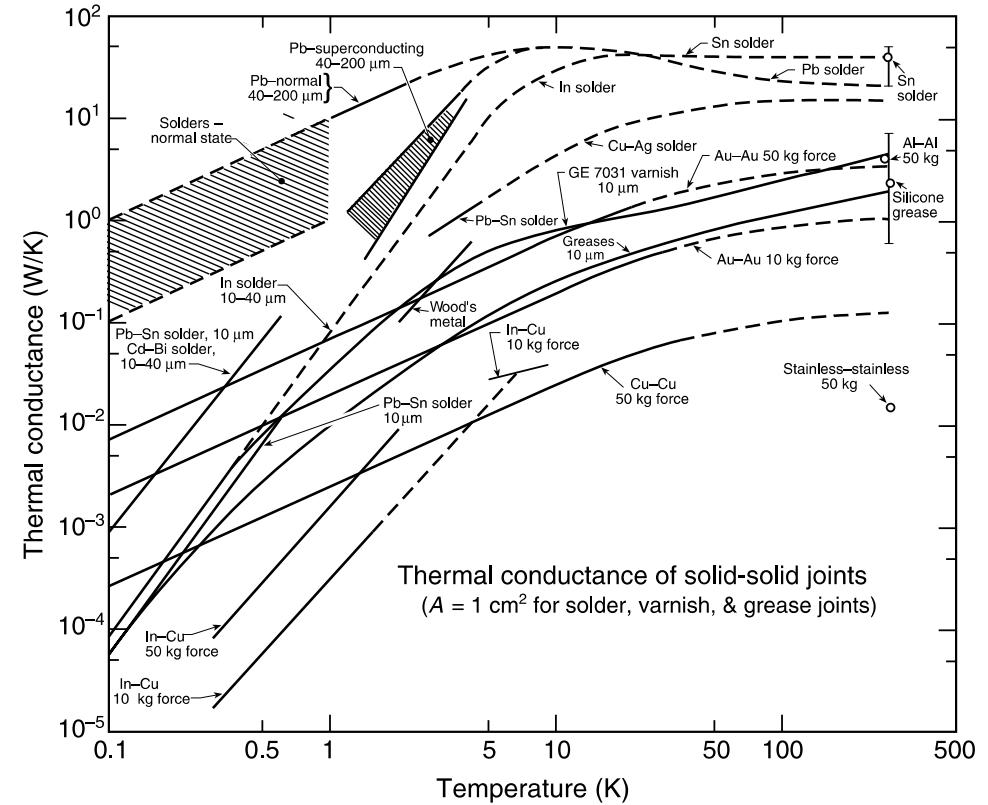
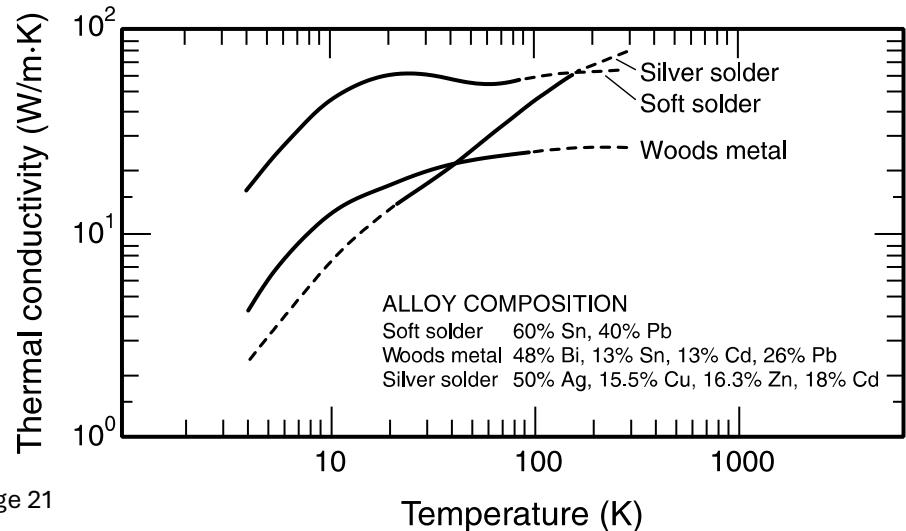
“banana boat” equation



$$\kappa \approx \text{capacity} \times \text{velocity} \times \text{free path of travel}$$
$$\kappa = \frac{1}{3} C v l$$

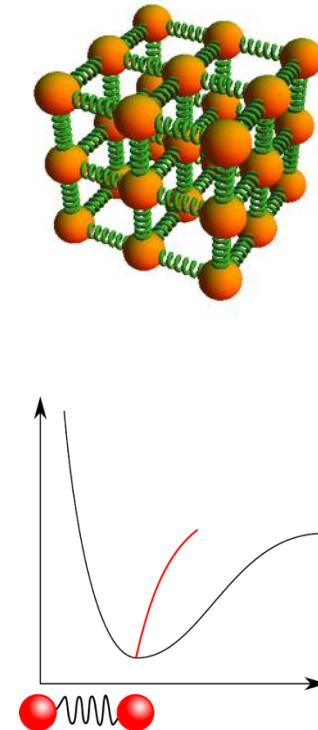
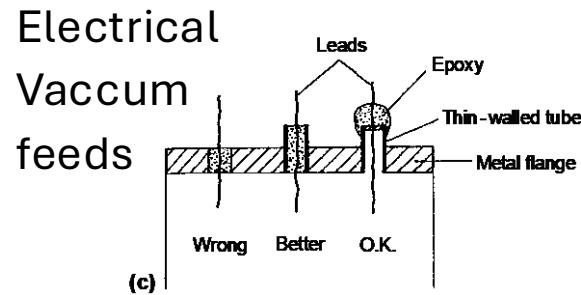
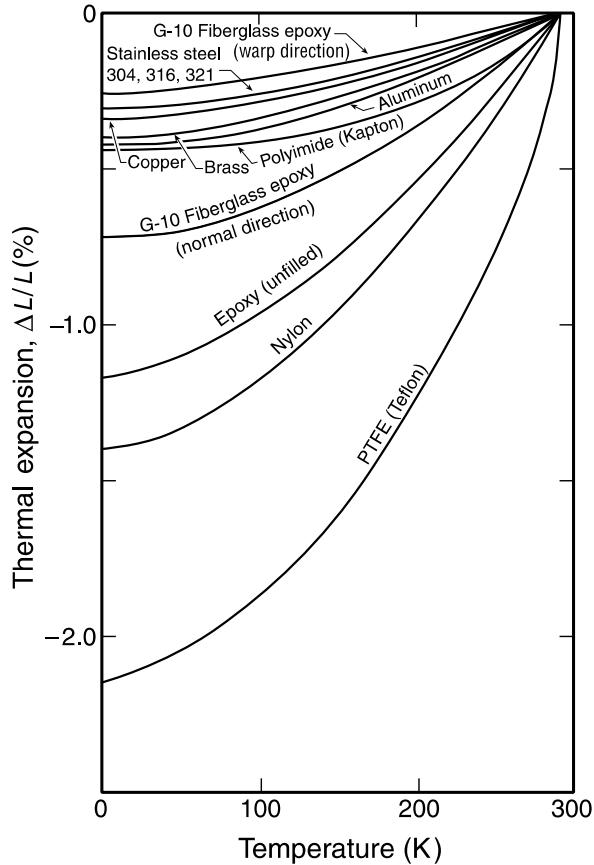
joining materials

Welding (best) heatflow~Area
 soldering heatflow~Area
 gluing heatflow~Area
 pressing (k~p) \rightarrow heatflow ~ Force
 low p: use grease
 medium p: use indium >1MPa
 high pressure: use gold plated



$$\dot{Q}(T) = \dot{Q}(445 \text{ N} 4 \text{ K}) = \left(\frac{F}{445 \text{ N}} \right) \left(\frac{T}{4.2 \text{ K}} \right)^\gamma$$

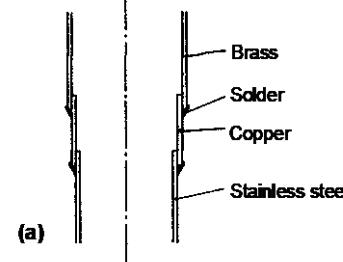
Thermal expansion



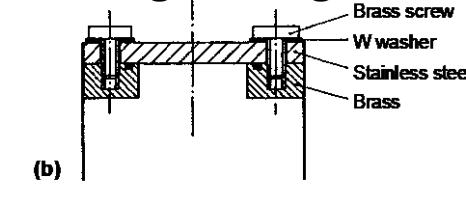
atoms are arranged in a regular lattice
held together by bonding forces
→ they have some springiness

in a real potential
average position is energy
dependent (T dependent)
→ thermal expansion

joining cryo tubing



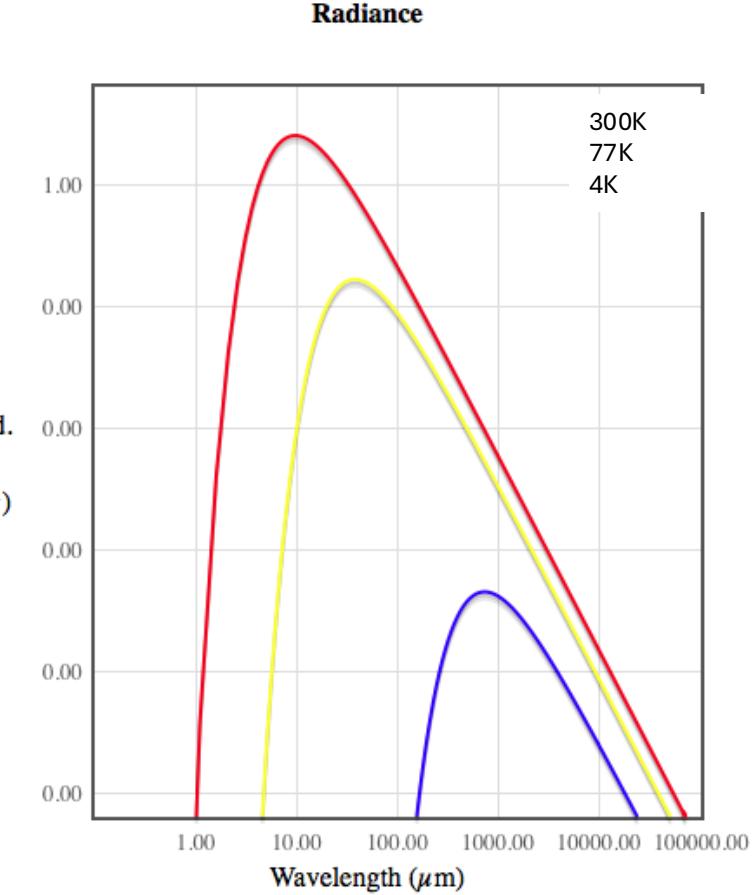
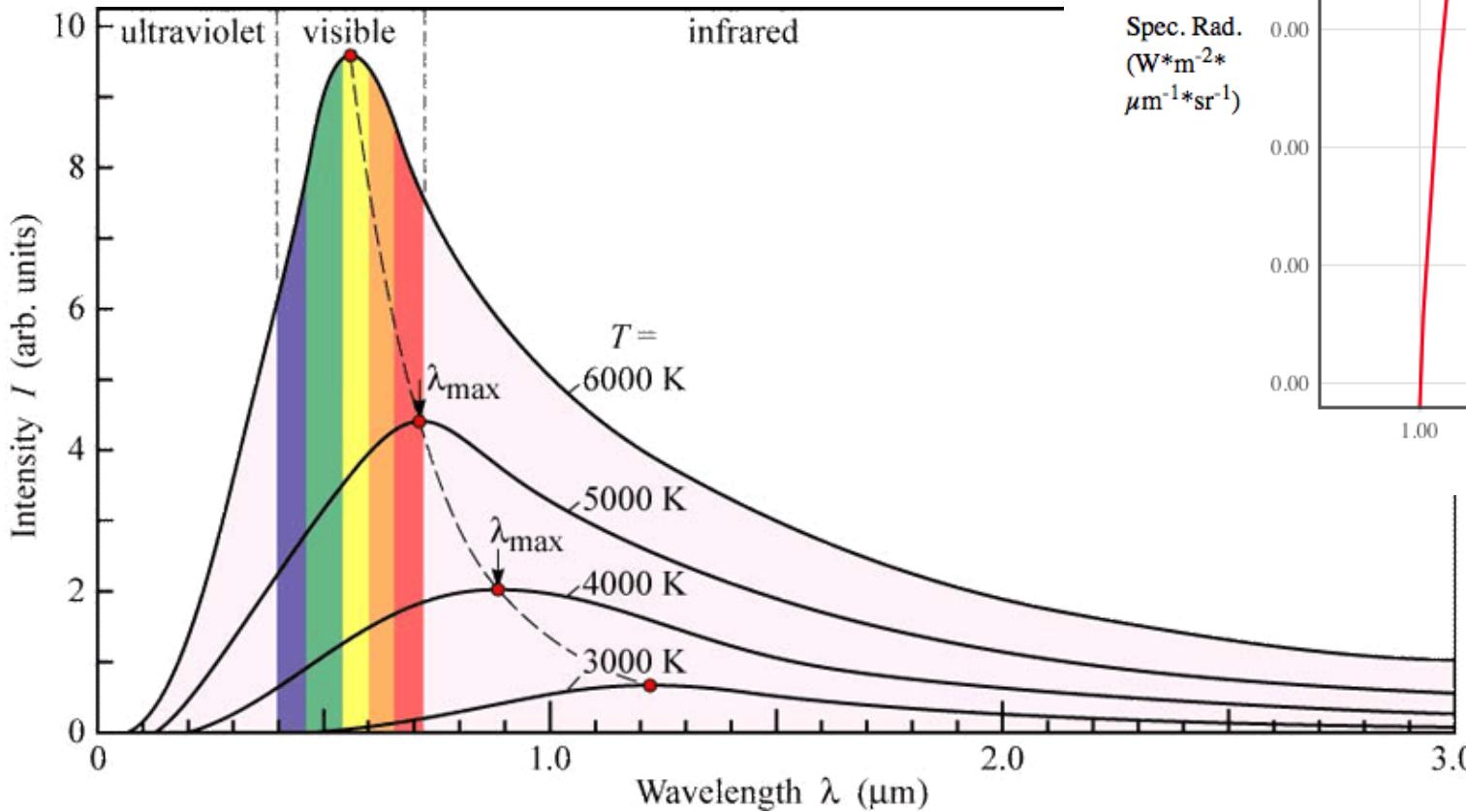
self tightening seal



Radiative Heat Transfer

Blackbody Radiation

- Any material emits radiation
- The intensity and wavelength depends on the temperature



Radiation Heat Load

radiation power of a black body radiator
(Stefan-Boltzmann law)

Stefan-Boltzmann constant

$$\sigma = 5.670373 \times 10^{-8} \frac{\text{W}}{\text{m}^2 \text{K}^4}$$

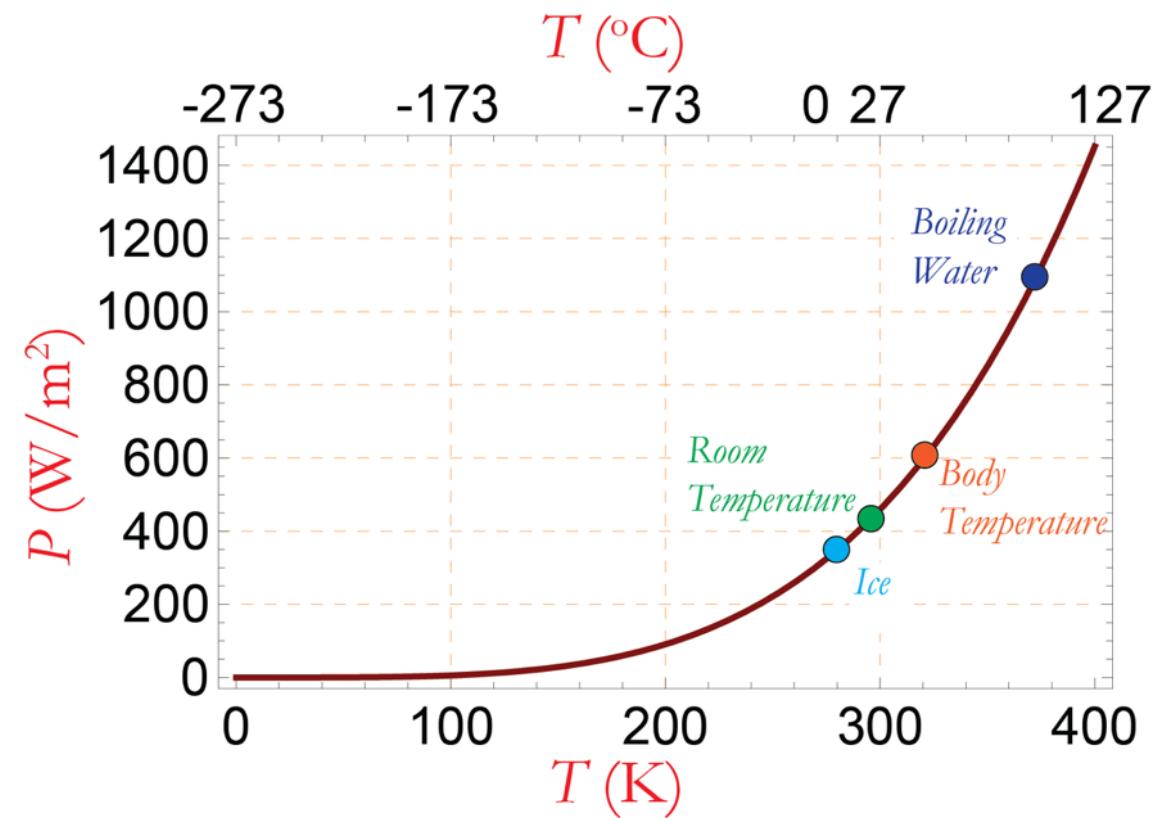
A .. Surface Area

for a grey (non-ideal) body

| material | typ. ϵ |
|---------------------|-----------------|
| paint | 0.9 |
| glass | 0.9 |
| Copper polished | 0.05 |
| Copper oxidized | 0.85 |
| Aluminium foil | 0.04 |
| Aluminium as bought | 0.1 |

$$P = \sigma \cdot A \cdot T^4$$

$$P = \epsilon \cdot \sigma \cdot A \cdot T^4 \quad \epsilon = \frac{P_{\text{grey}}}{P_{\text{black}}}$$



Radiation Heat Transfer

heat transfer between surface A_1 and A_2

P ... radiation power

F ... view factor

using $A_1 \cdot F_{1 \rightarrow 2} = A_2 \cdot F_{2 \rightarrow 1}$

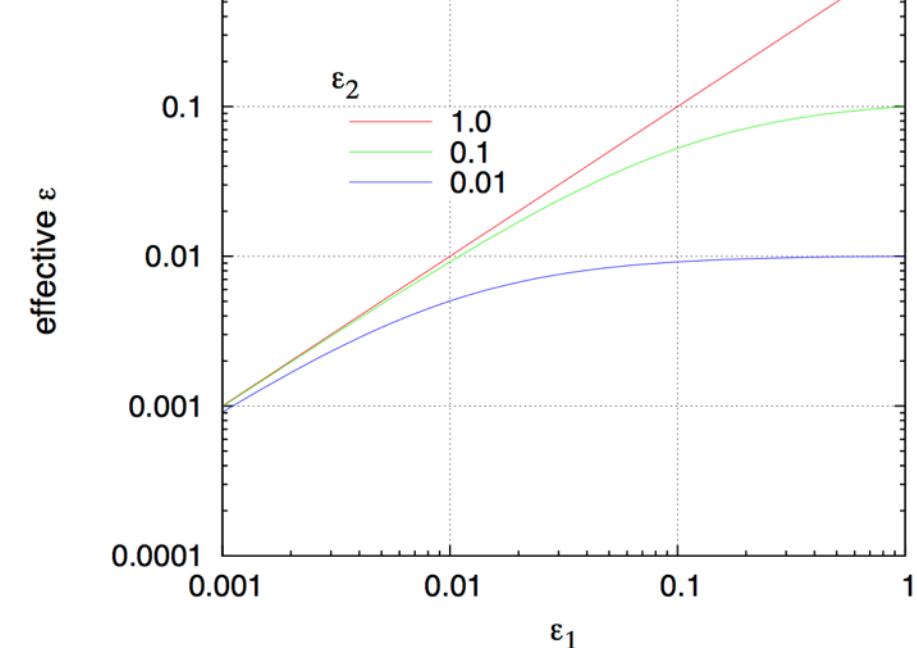
$$\dot{Q} = A_1 \cdot P_1 \cdot F_{1 \rightarrow 2} - A_2 \cdot P_2 \cdot F_{2 \rightarrow 1}$$

$$\dot{Q} = \sigma \cdot A_1 \cdot F_{1 \rightarrow 2} (T_1^4 - T_2^4)$$

$$\dot{Q} = \sigma \cdot \frac{A_1}{\frac{1}{\epsilon_1} + \frac{A_1}{A_2} \left(\frac{1}{\epsilon_2} - 1 \right)} (T_2^4 - T_1^4)$$

for 2 long
concentric
cylinders:

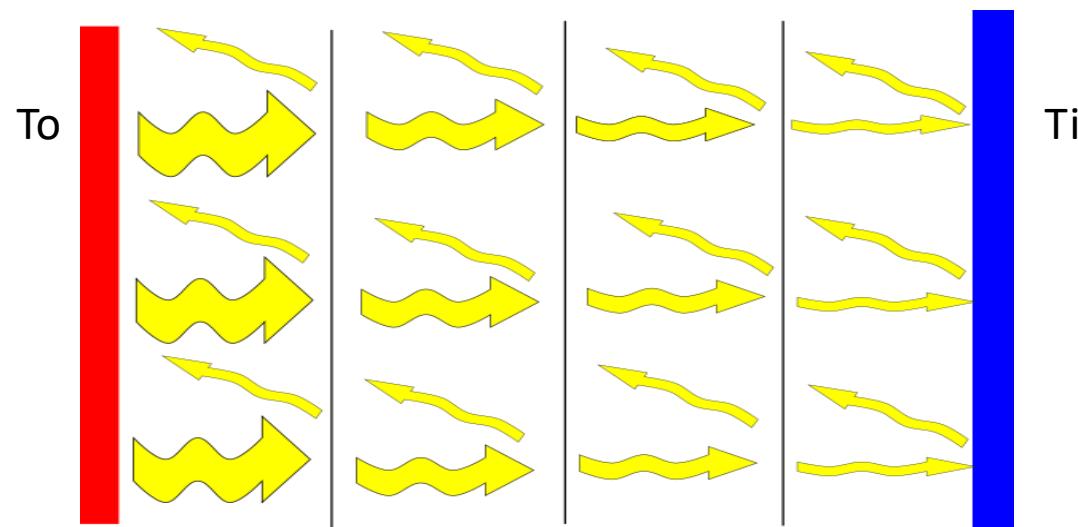
| T of hot surface (K) neglecting T_{cold} | max. heat load (mW/cm ²) |
|--|---|
| 300 | 46 |
| 77 | 0.2 |
| 4 | 1.4×10^{-6} (1nW/cm ²) |



Multilayer Super Insulation

$$\dot{Q} = \frac{\varepsilon \sigma A (T_o^4 - T_i^4)}{N + 1}$$

- N = number of shields
- Adding 1 shield will half the heat load
- Shield material and thickness is not important
- Shields are thermally floating



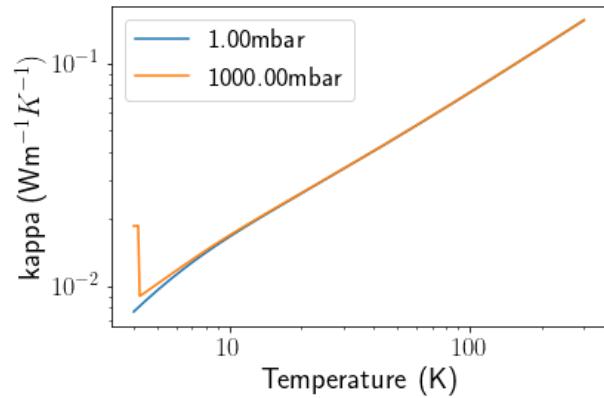
https://fys.kuleuven.be/iks/wi/WITCH/images/photos/cryo_oxf02.jpg/image_large

Heat Transfer by Convection

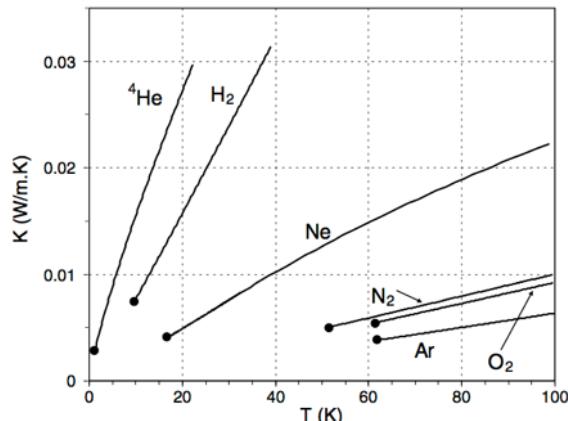
Exchange Gas

hydrostatic limit

$l \ll d$; $l \dots$ mean free path



thermal conductivity independent of pressure



Catarino, *Cryogenics* **48** (2008), 17-25

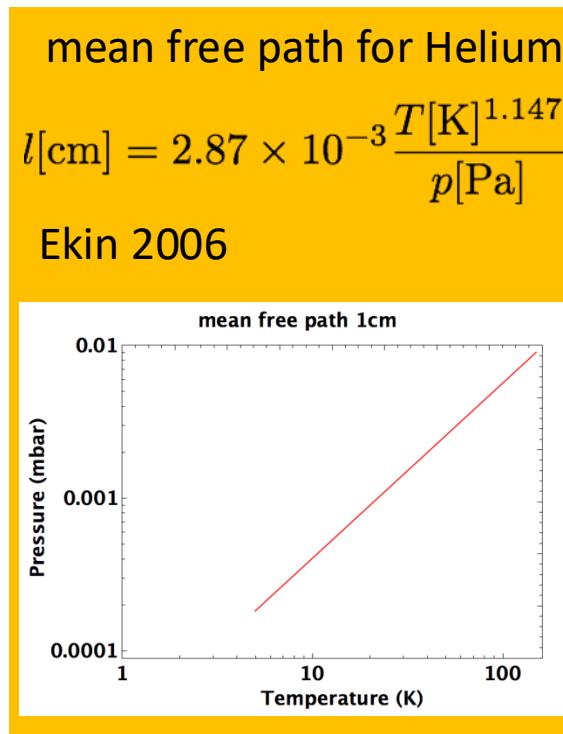
free molecular regime

$l \gg d$; $l \dots$ mean free path

thermal conductivity is a function of pressure and energy transfer to the surfaces (accommodation coefficients)

For 2 surfaces with 1 Surface at room temperature and Helium as gas:

$$\dot{Q}[\text{W}] = 2.1 \frac{0.5}{1 + 0.5 \frac{A_i}{A_o}} A_i [\text{m}^2] p [\text{Pa}] \Delta T [\text{K}]$$



for T_{low} : 4K
 A : 1 m²
 p : 10^{-7} mbar

heat load: 2mW

White&Meeson, 2002

Cooling mechanisms

Cooling by evaporation
Latent heat
Vapor pressure

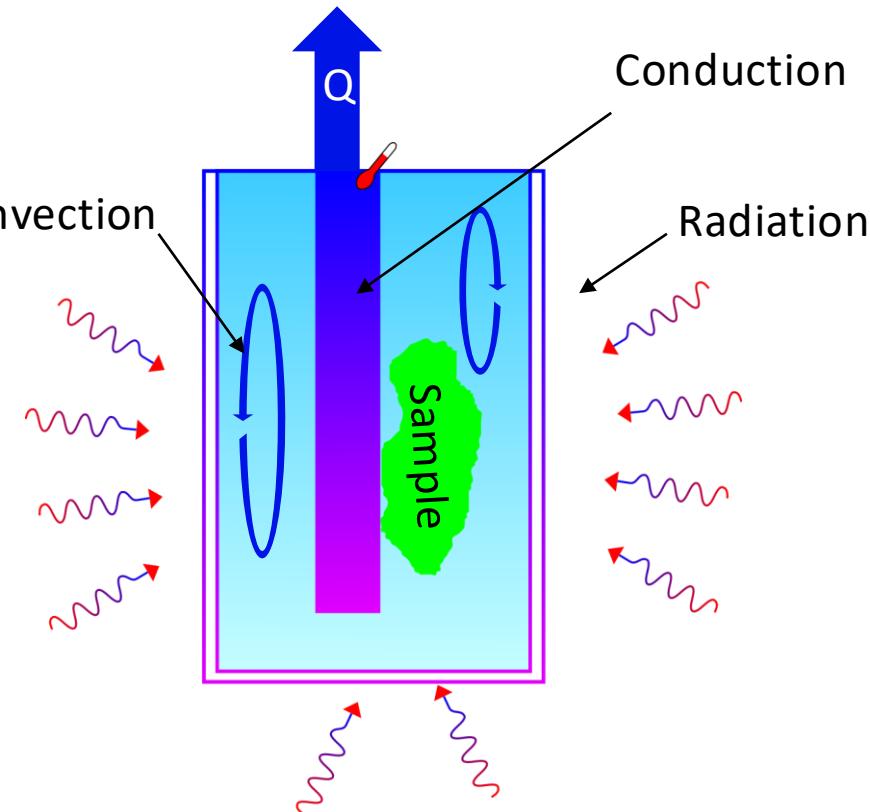
Gas cooling
Enthalpy of
warming gas

Closed cycle cooling
Cyclic Heat pump
GM-coolers

We did not talk ...

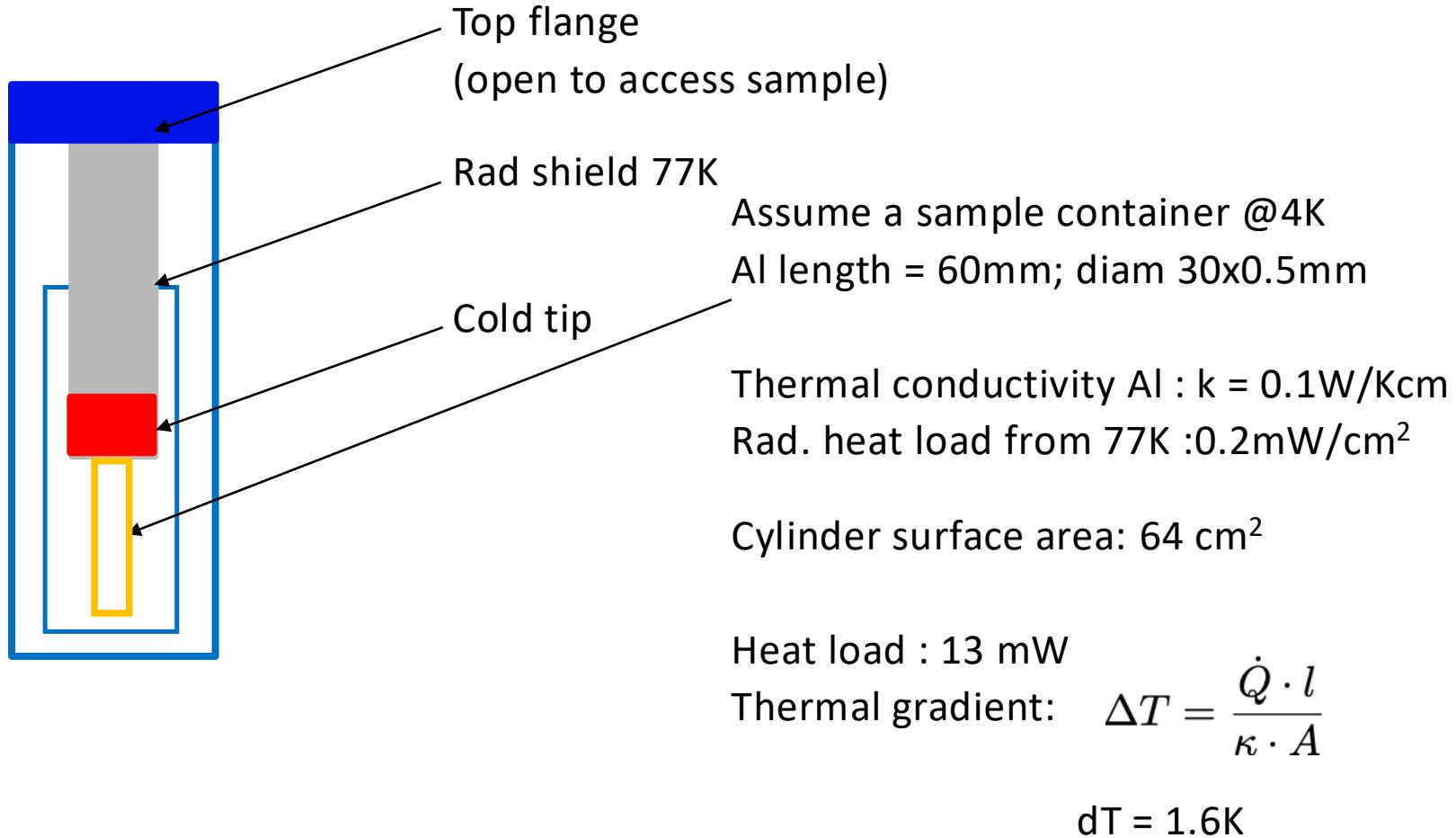
- Peltier cooling/heating
- Cooling by dilution or solution
- Radiative cooling
- Magnetic cooling (adiabatic demagnetisation)

Heat Transport mechanisms

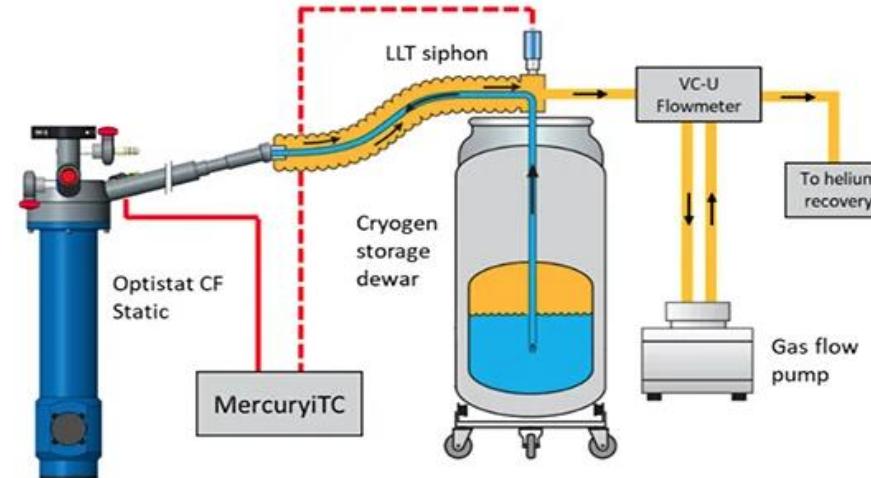
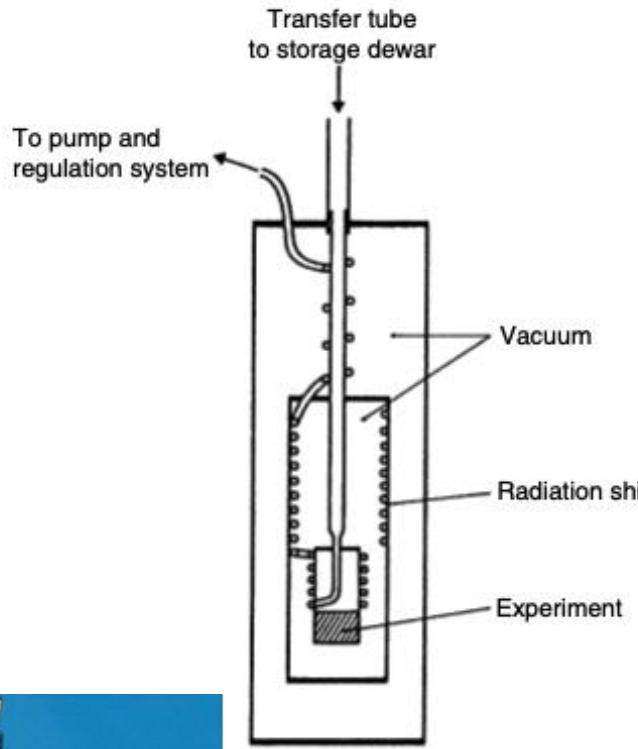


Cryostats

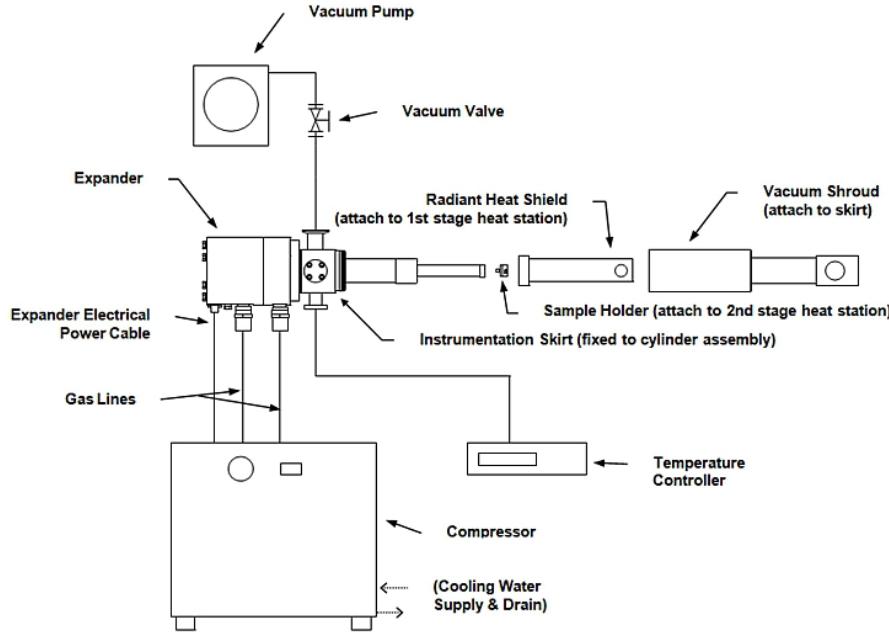
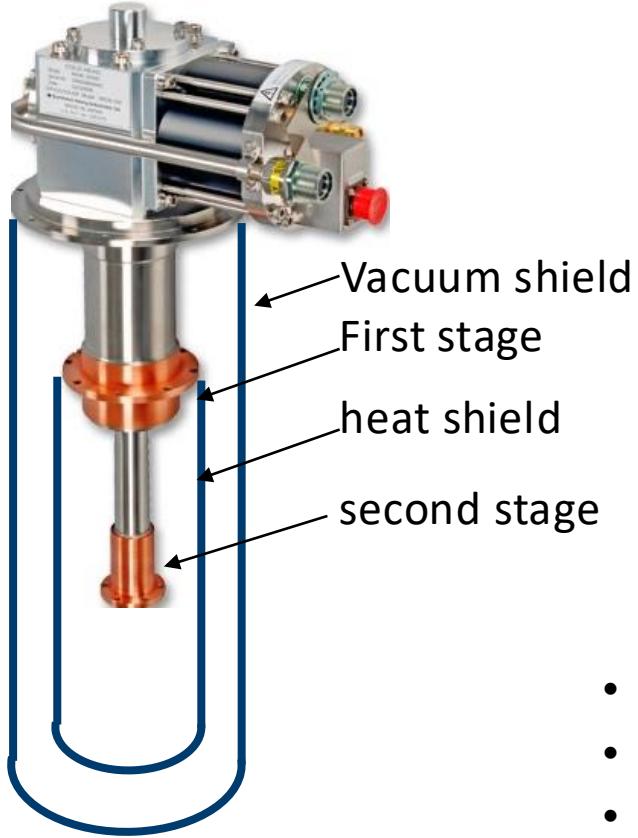
Cold finger cryostats – radiative heat load



Cold Finger Cryostat – Helium Flow



Cold finger cryostats – GM closed cycle

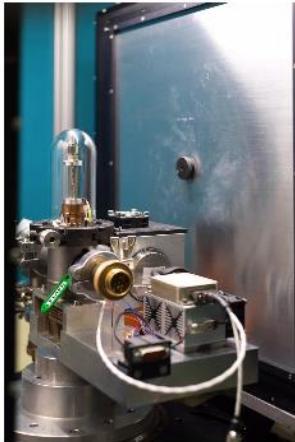


www.arscryo.com

- heavier than a flow cryostat
- simple to set-up and operate
- No liquid Helium infrastructure
- For GM-coolers independent on the operation position (works also up side down)
- Tbase ~4K

Cold Finger Cryostat – examples

Stirling cooler BERII HZB

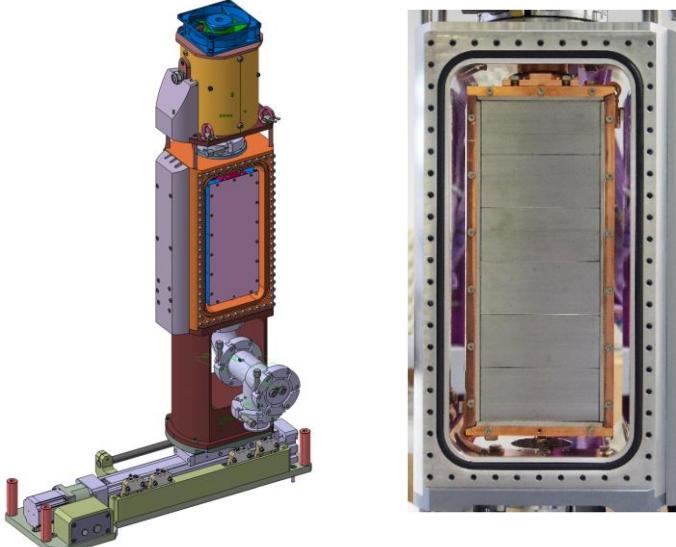


Mini refrigerator (AIM Stirling cooler SL400) in a the custom made assembly for space restricted environments, i.e. between the transmission and backscattering detectors of „FALCON“

Specifications

| | |
|--|--|
| Cold finger | 60 .. 320 K |
| High temperature option with quartz sample tube | 80 .. 450 K |
| Cooling performance | $t(\leq 70 \text{ K}) \approx 1.5 \text{ h}$ |

Stirling cooler BerylliumFilter FOCUS@PSI



Operate at <100K

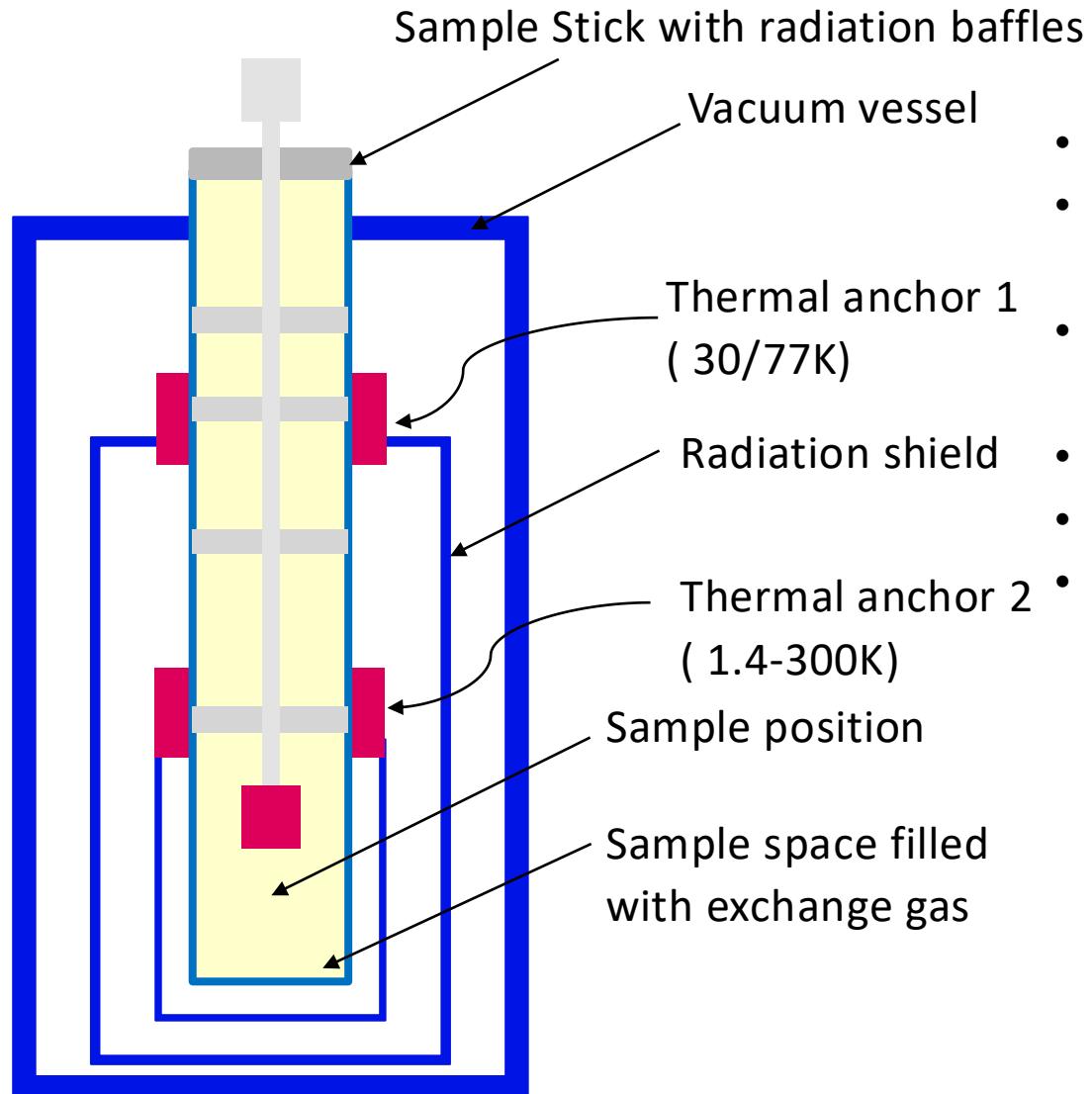
Nitrogen JT cooler

Uses recuperating compressor or Gas bottle
No moving parts
Extremely fast cooldown
Tbase <90K



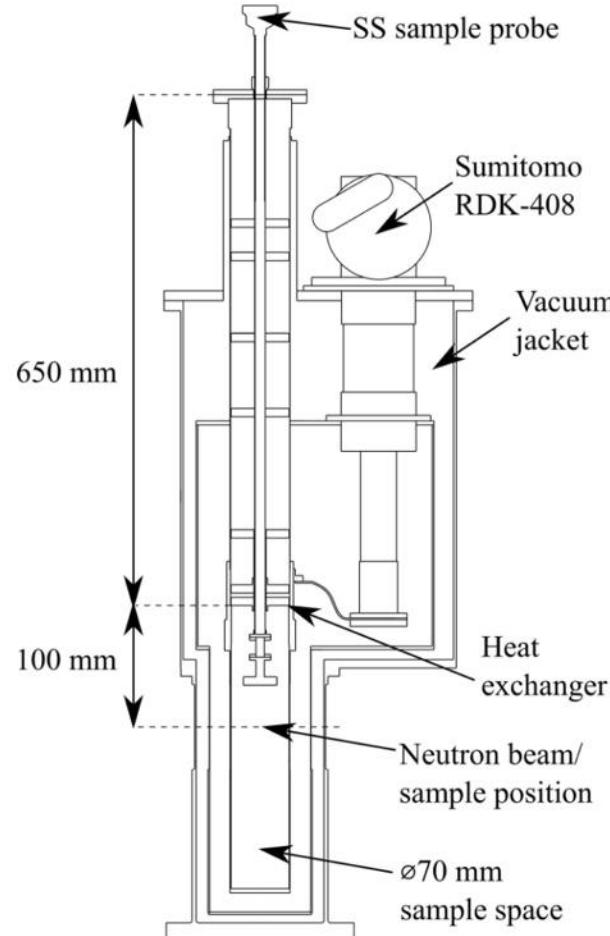
<https://www.elliotscientific.com/Kryoz-CryoLab-S-SP-MSG>

Top Loading Cryostats



- simple sample mounting
- Sample thermalisation via exchange gas
- Small thermal gradients at the sample
- Fast sample change
- Slower cooling
- Easily extendable (Magnet, sample stick)

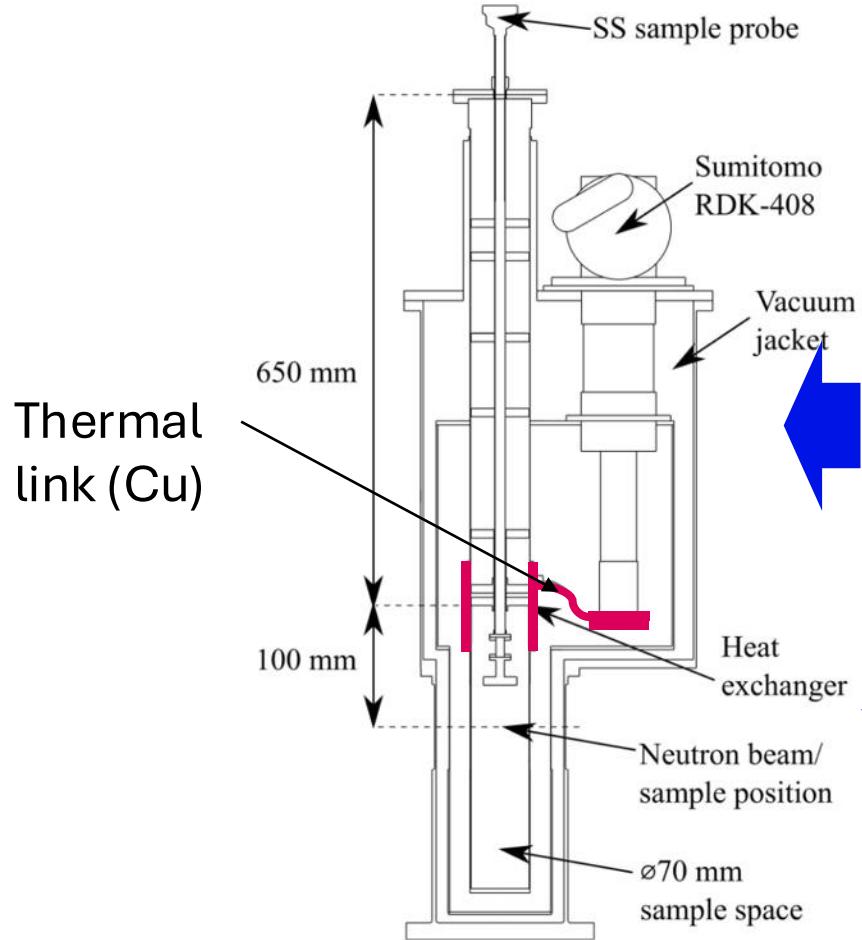
Top Loading Cryostats – Cryocooler



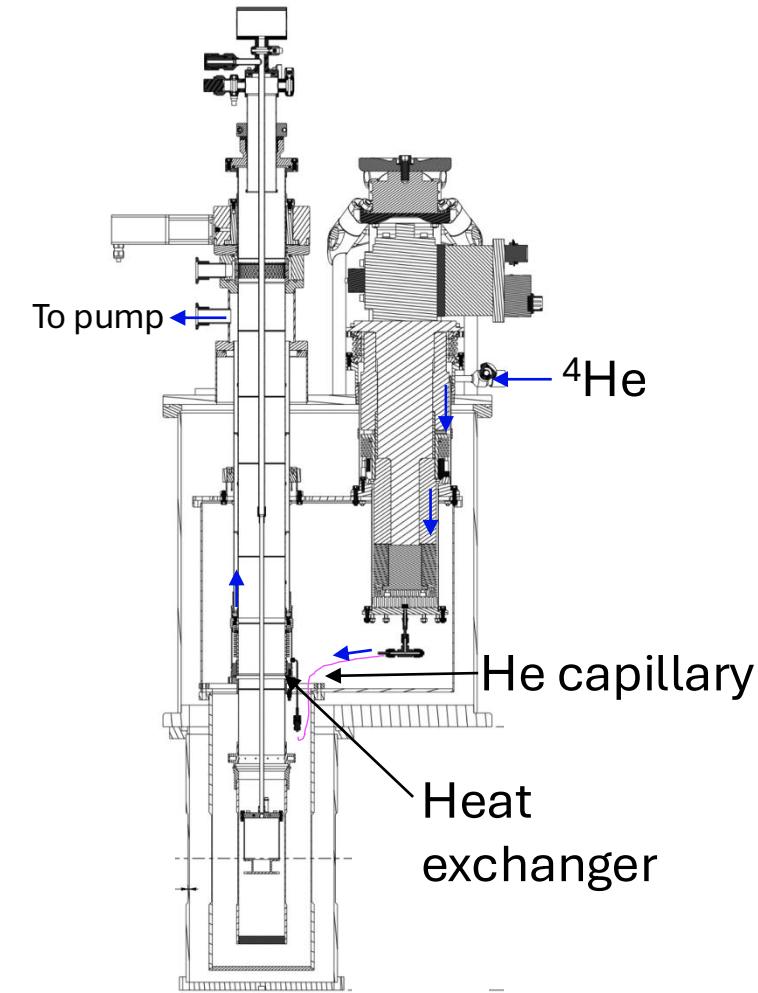
- Thermalise sample stick at 2 positions
- Direct connection to the Cryocooler stages
- Fast cooling
- Simple construction
- Easy to handle and maintain
- Needs compressor and “flex” lines
- Precool near the instrument
- $T_{base} < \sim 4K$

A S Scientific top-loading cryofurnace (see ref
<https://doi.org/10.1107/S1600576719016704>

Top Loading Cryostats – Cryocooler

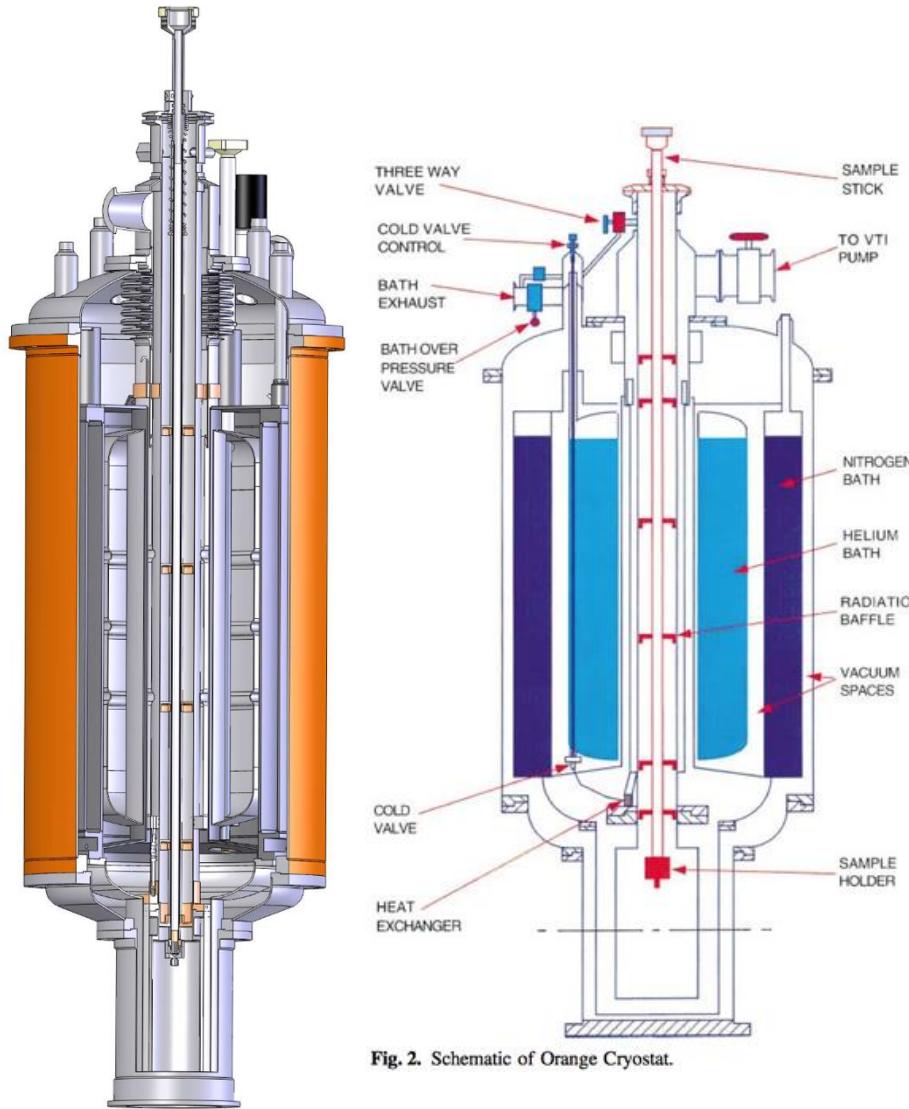


- Thermalise sample stick at 2 positions
- Direct connection to the Cryocooler stages
- Fast cooling
- Simple construction
- Easy to handle and maintain
- Needs compressor and "flex" lines
- Precool near the instrument
- $T_{base} < \sim 4K$
- Add JT stage to reach
- $T_{base} < 1.8K$
- Limited cooling power
- ➔ slow
- Requires small gas handling

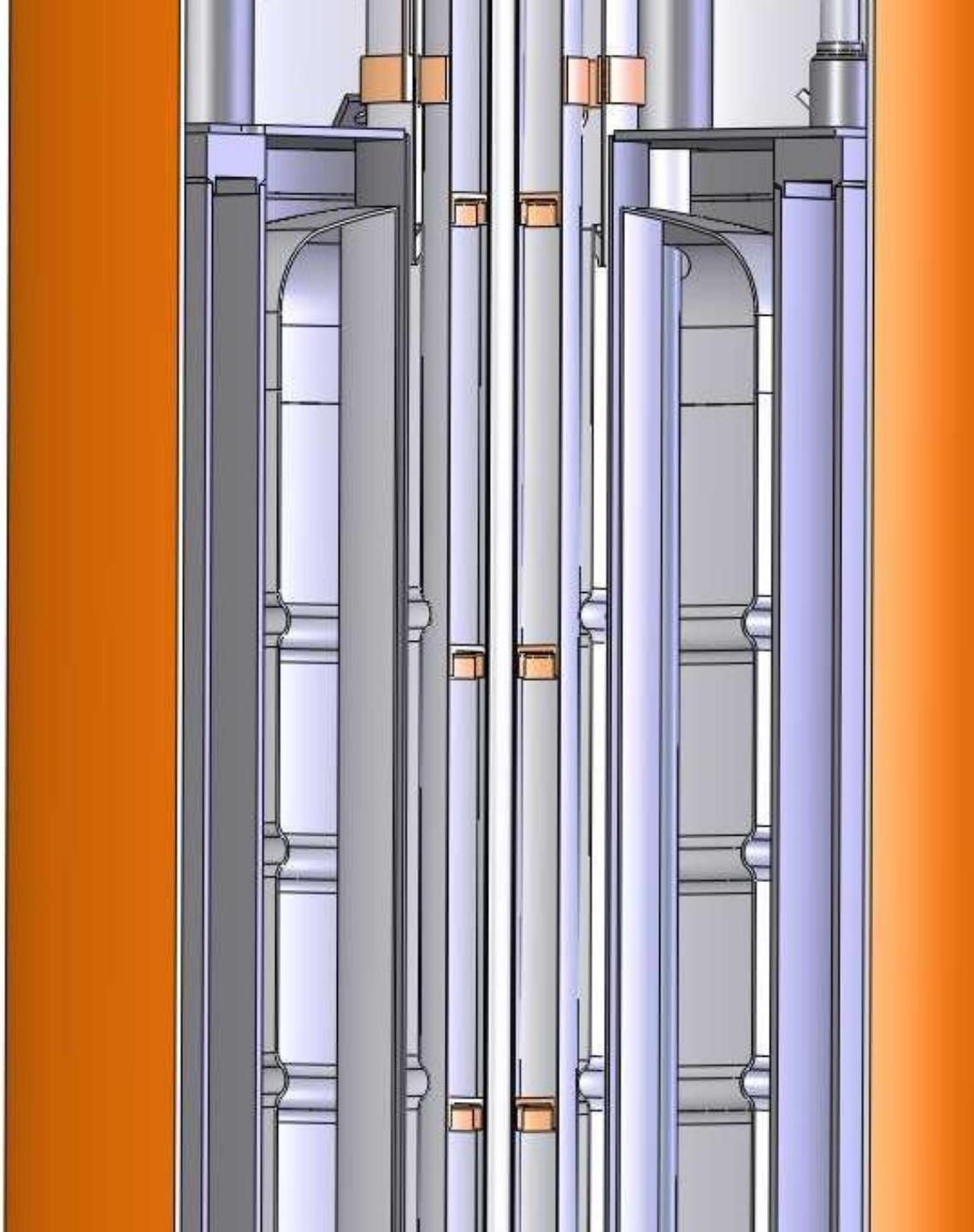


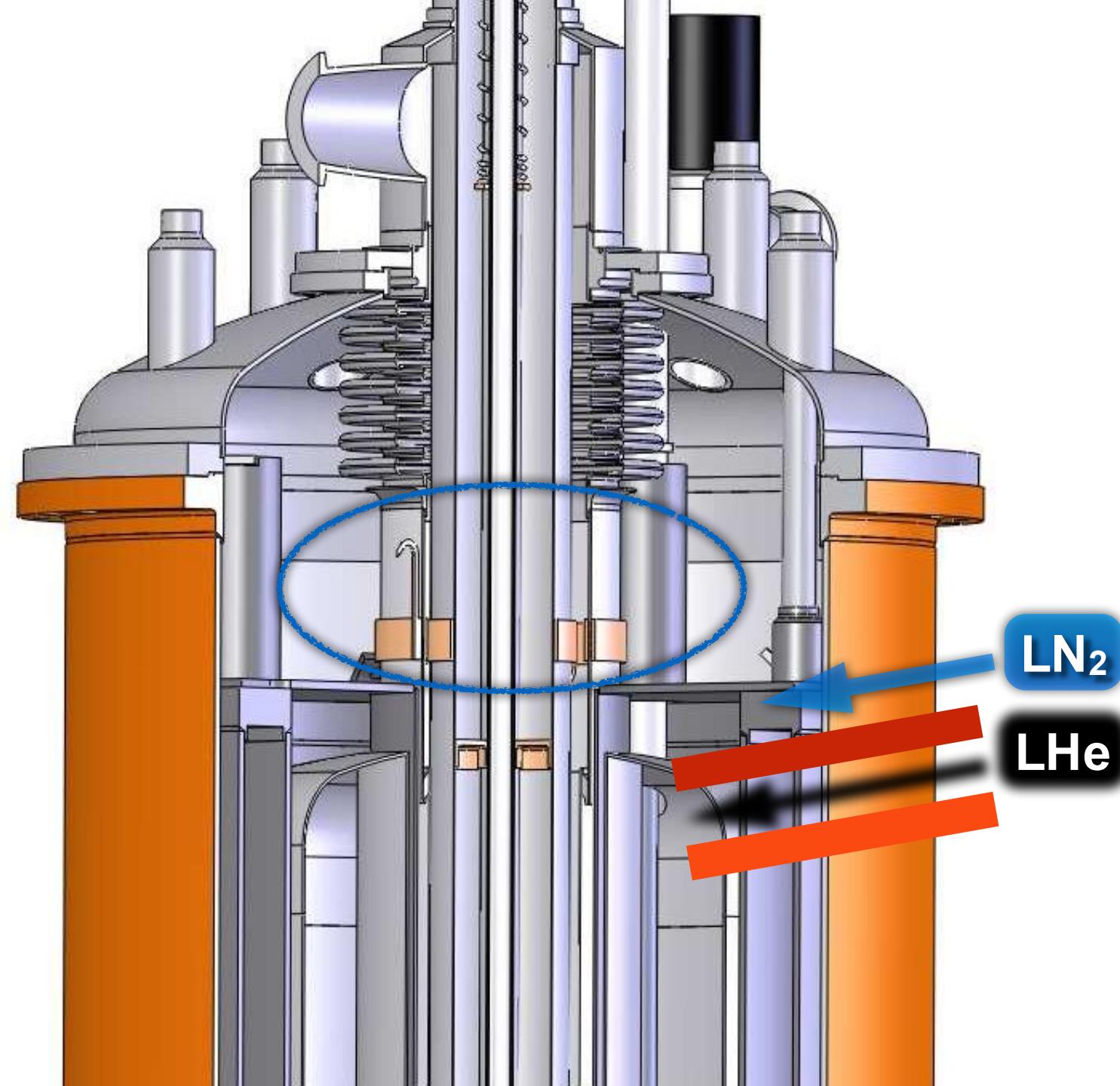
A S Scientific top-loading cryofurnace (see ref
<https://doi.org/10.1107/S1600576719016704>

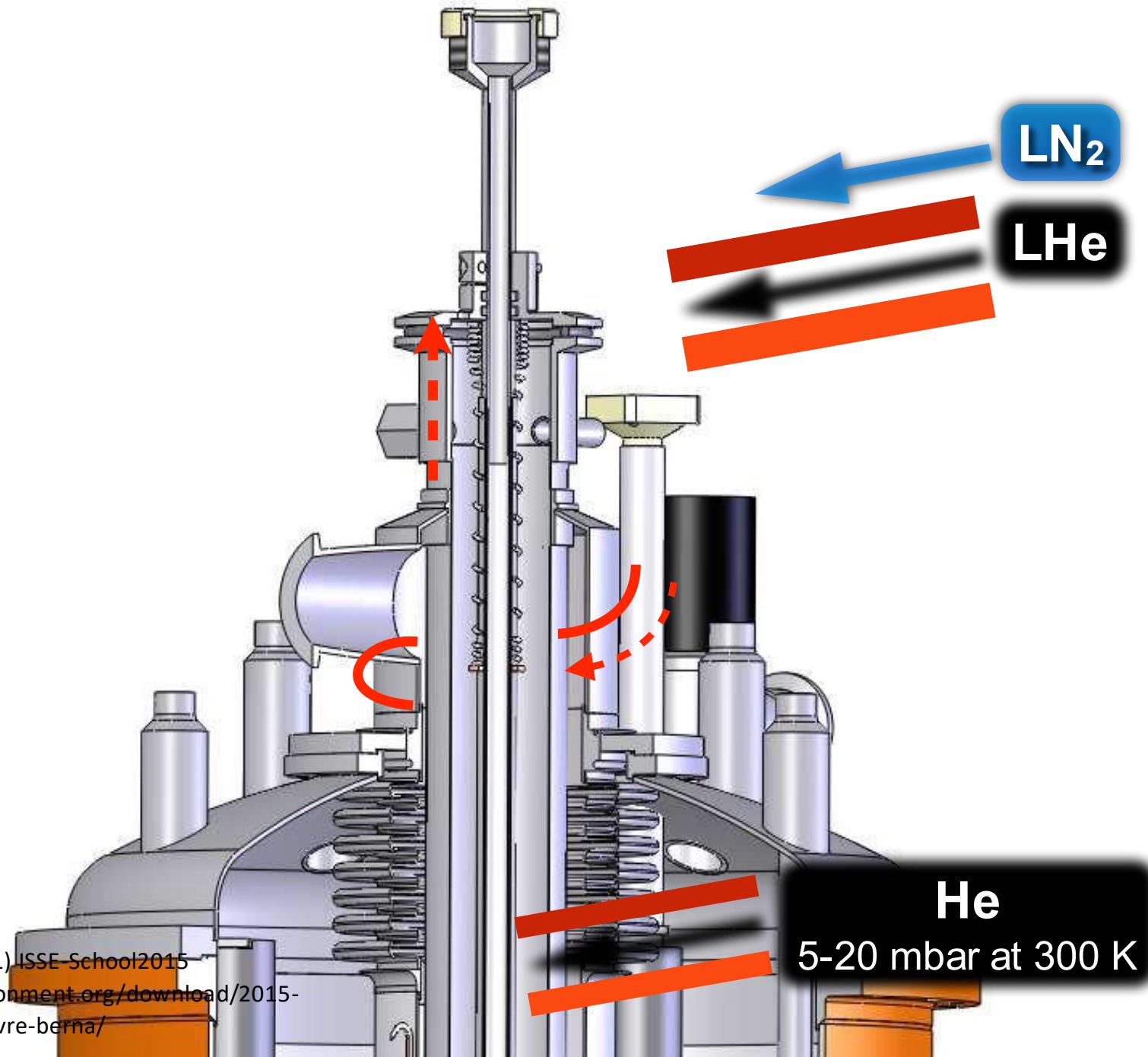
Top Loading Cryostats – Orange Cryostat



- Nitrogen bath for the thermal shielding
- Helium bath serves as reservoir to cool sample space via a JT-stage
- A load of Helium and Nitrogen lasts about 1-2 days.
- Can be operated to 4K without pump
- Fast cooling can be transported and installed when cold







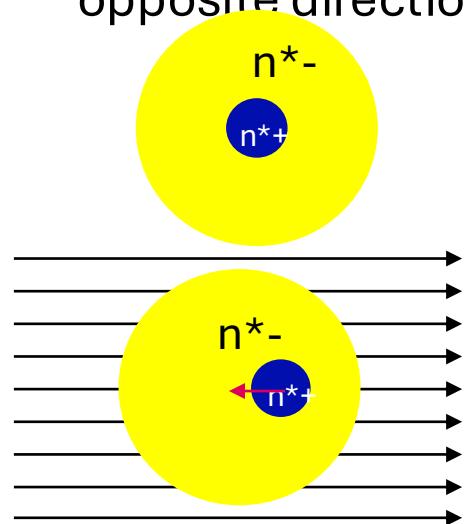
Bonus

Electric Fields

Electric fields in Matter

induce dipole moment

- E-field applied to atom (neutral) pushes nucleus (+) and electrons (-) in opposite direction

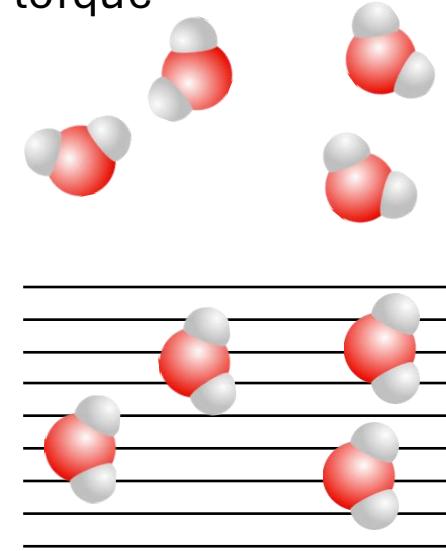


$$|p| = \alpha|E|$$

Polarizability α is isotropic

Permanent dipole moment

Pos and neg part of dipole experience forces in opposite directions → torque



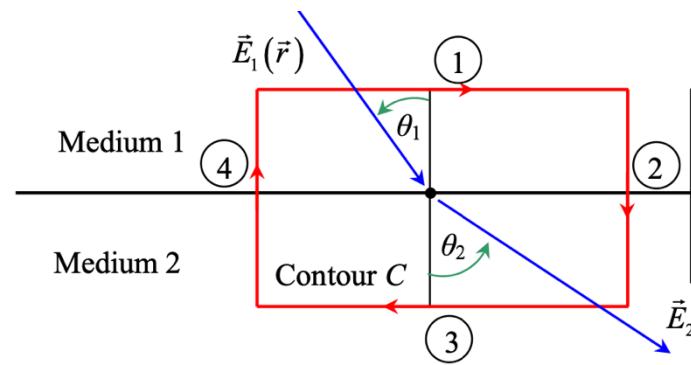
Polarizability α is anisotropic

$$p_x = \alpha_{xx}E_x + \alpha_{xy}E_y + \alpha_{xz}E_z$$

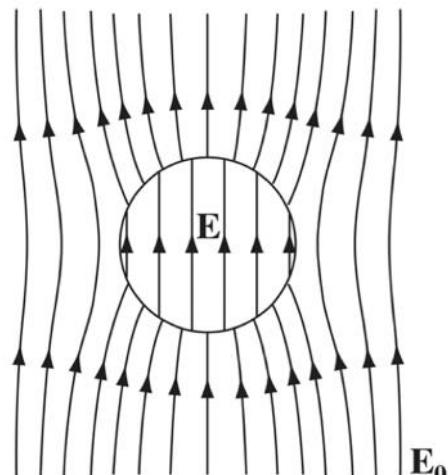
$$p_y = \alpha_{yx}E_x + \alpha_{yy}E_y + \alpha_{yz}E_z$$

$$p_z = \alpha_{zx}E_x + \alpha_{zy}E_y + \alpha_{zz}E_z$$

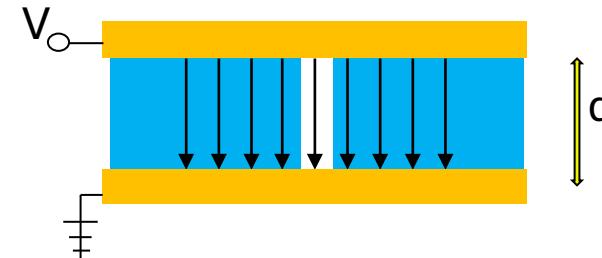
Electric fields in Matter – boundary effects



Tangential component not affected



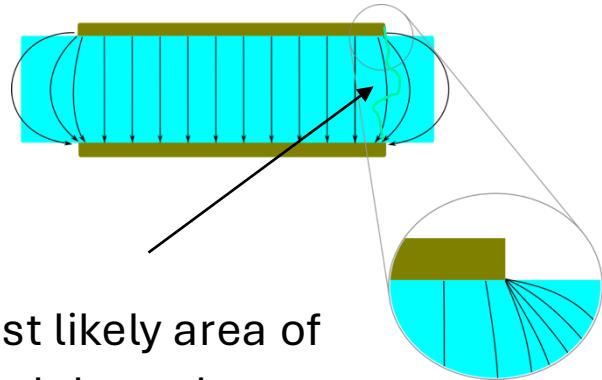
Parallel Plate Capacitor



- Electric field easy to calculate
 - Field direction well defined
 - Field strength constant (not on edges)
 - Field inside the Dielectric
- $$D = \epsilon_0 \epsilon_r E$$

https://hep.physics.illinois.edu/home/serrede/P435/Lecture_Notes/P435_Lect_10.pdf
<http://www.phys.nthu.edu.tw/~hf5/EM/lecture%20note/EM04.pdf>

Limiting effects – electric breakdown



Most likely area of breakthrough

Field enhancement at edge factor 3-4

| Material | Breakdown field kV/mm |
|----------|-----------------------|
| PTFE | 45 |
| Kapton | 118 |
| Mylar | 75 |
| Sapphire | 35 |

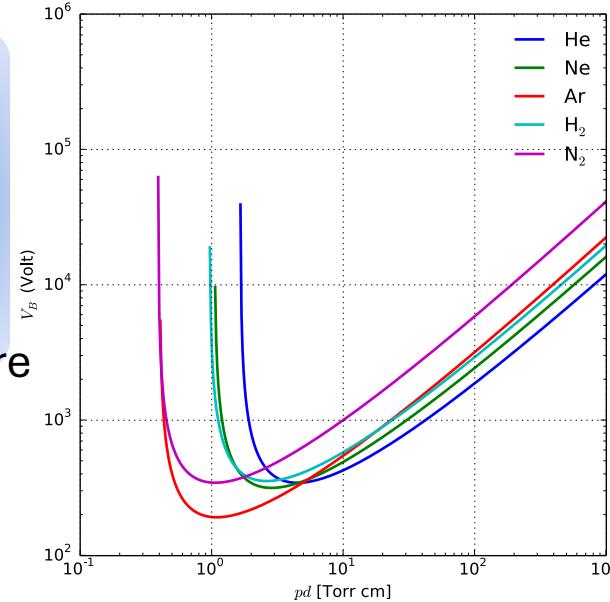
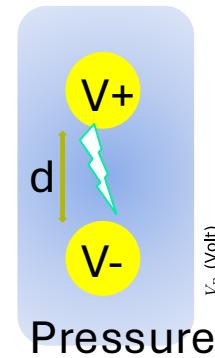
Intrinsic breakdown
collision ionisation of conduction electrons
emission from bulk impurity centres
field emission from the electrodes.

Thermal breakdown
Temperature increases the dielectric loss
Increase in dissipation (Joule heating)
Thermal run away

Discharge breakdown
Porosity in the sample

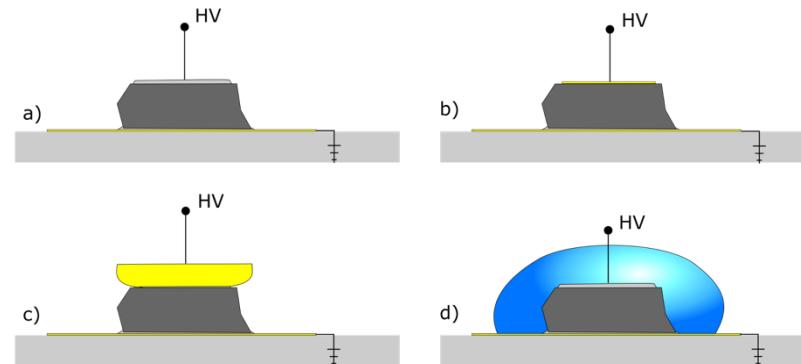
Break down – in exchange gas

- Cooling the samples by conduction limits applicable field
- Paschen Law relates breakdown voltage to the pressure distance product
- Lowest breakdown voltage of Helium gas: 300V
- Stress areas (edges) worsen the effect

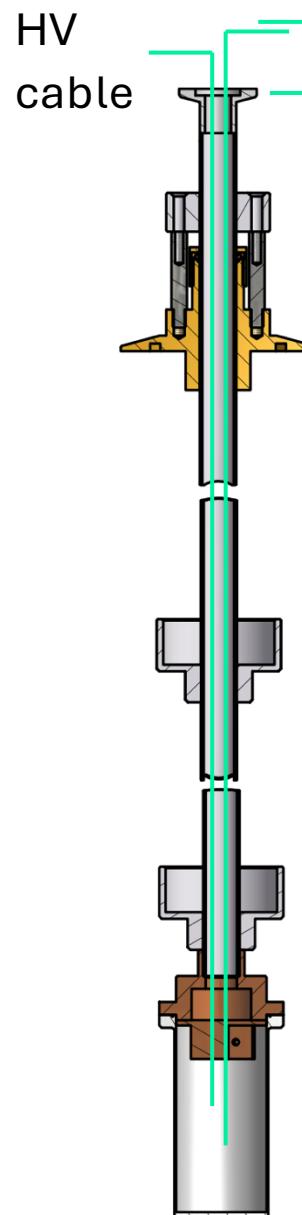


Solutions:

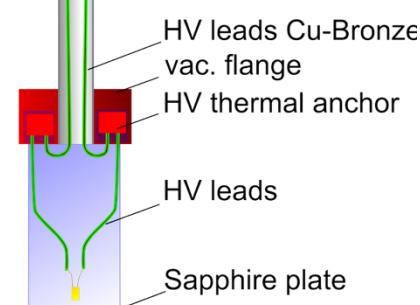
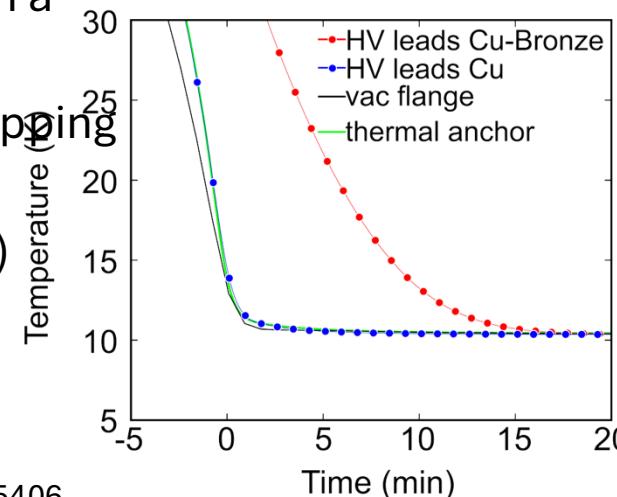
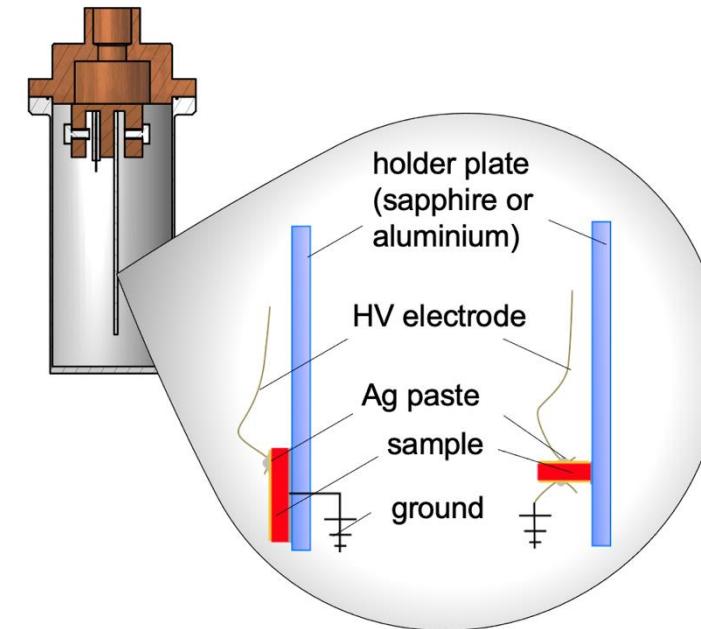
- Experiments in Vacuum
- Work on electrode design
- Immerse sample and electrode in stronger dielectric material (oil)
e.g. Fomblin (fluorinated Grease) for low Neutron background



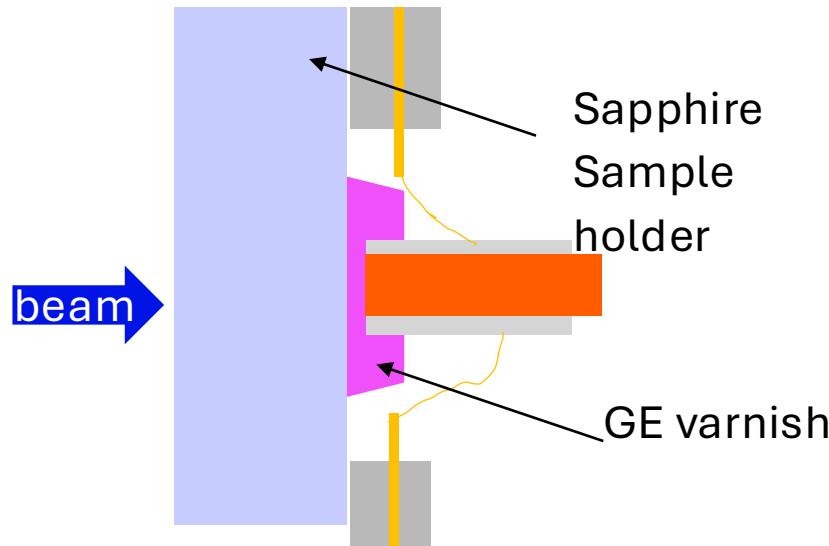
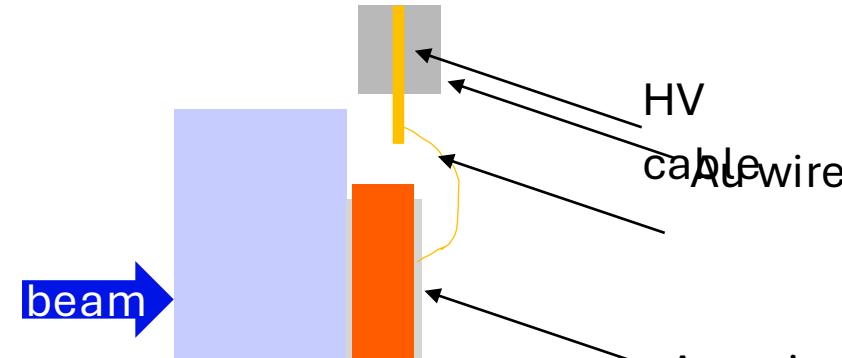
HV stick PSI – Design principles



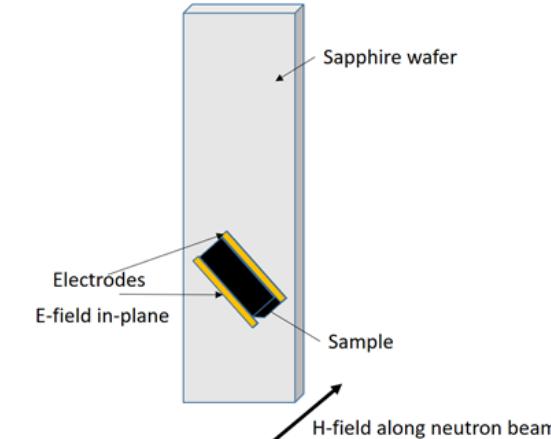
- Sample is installed in a Vacuum container
- Parallel plate geometry
- Sample is mounted to a sapphire plate
- Alignment of E to B or Scattering plane can be chosen easily
- Sample thermalisation via Electrodes
- Ground the sample with a grounding plug
- Change polarity by swapping electrodes
- Typ. 50kV/cm ($V_{max}:5kV$)



HV stick PSI – Sample preparation



- Electrodes and connections are prepared with silver paint
- Additional material in the beam (e.g. cables) are shielded with Cadmium foil
- Multiple Layers of Kapton tape (~3) are used for electric insulation of the Cadmium

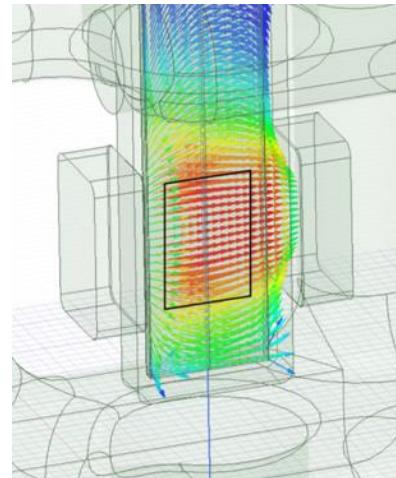
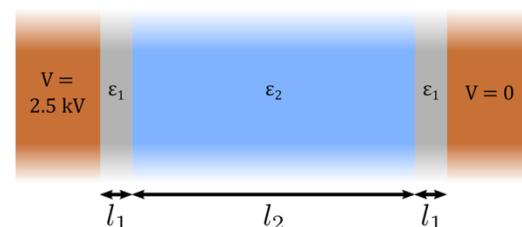
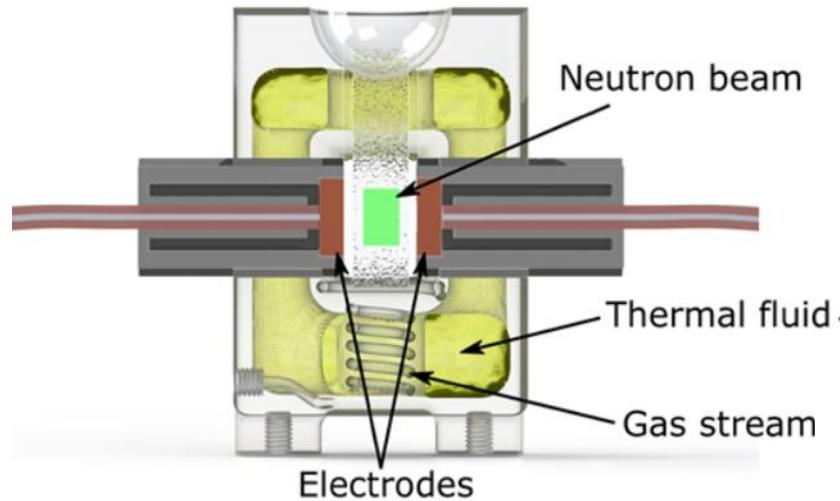


Electric fields in Liquids

Corrosion if electrodes in direct contact

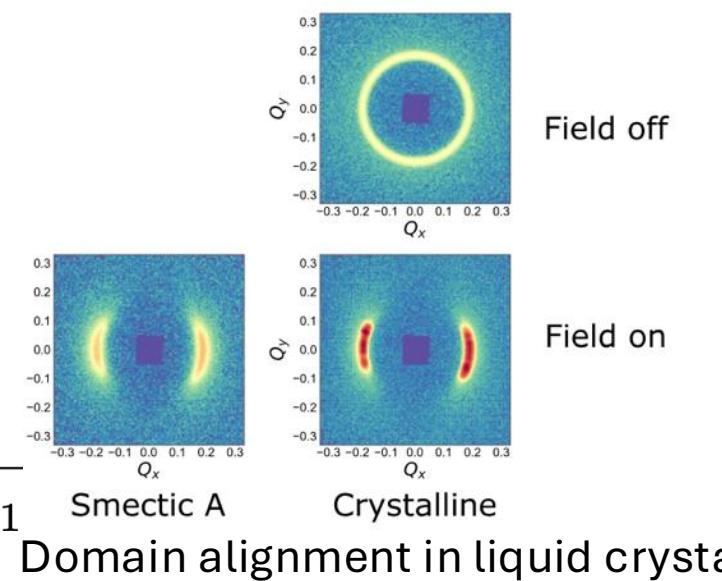
Complicated to make a simple container

D. Standard for containers 221793 (2021);
[doi:10.1063/5.0040675](https://doi.org/10.1063/5.0040675)

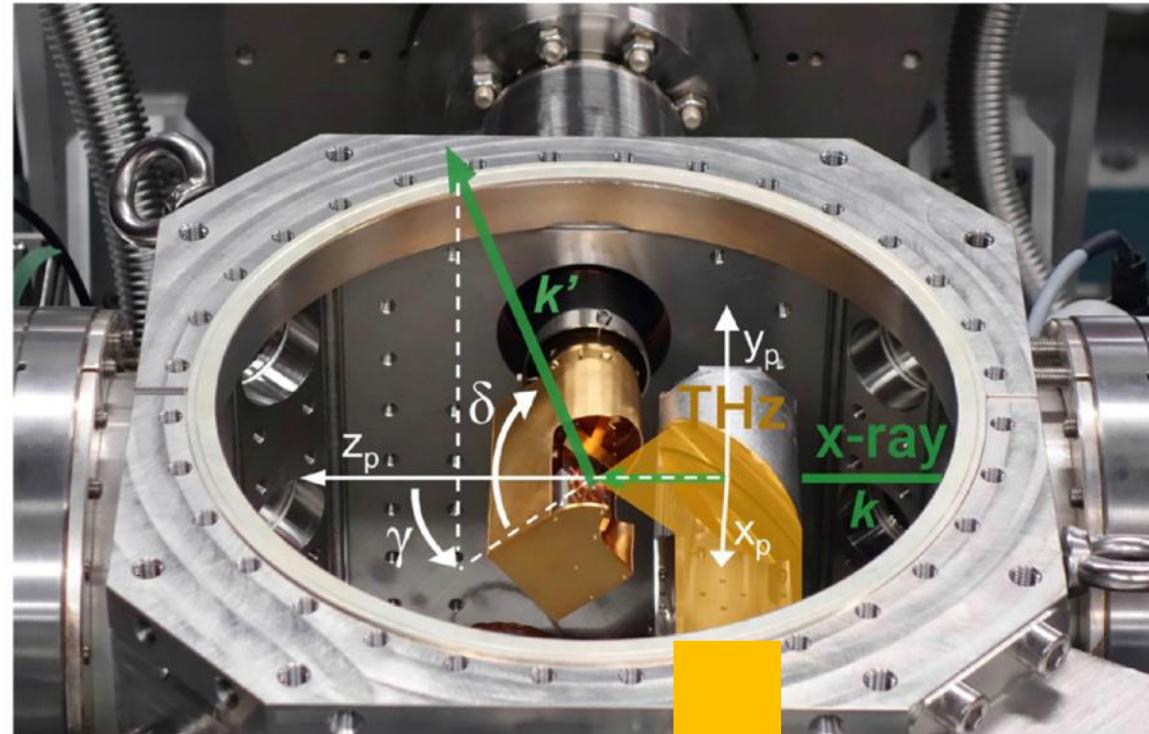
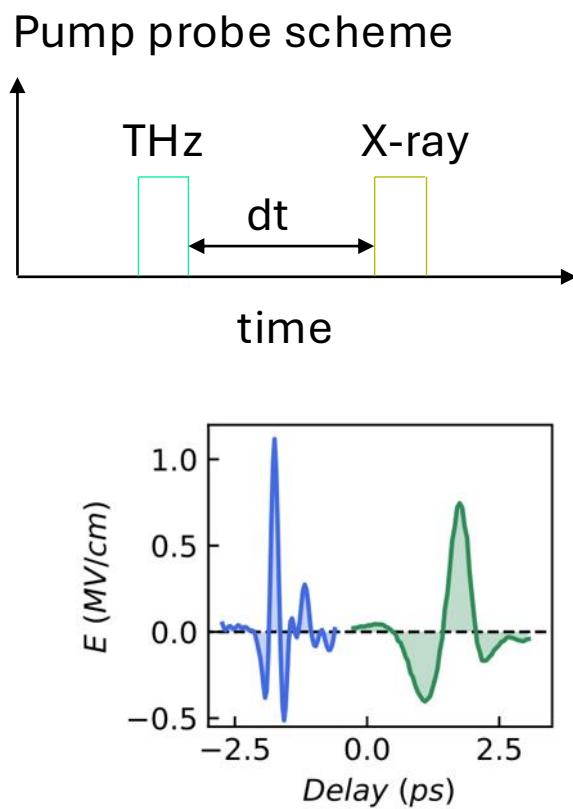


$$E_2 = \frac{-\epsilon_1 \Delta V}{2l_1 \epsilon_2 + l_2 \epsilon_1}$$

- With $10\text{kV} \sim 1-2\text{kV/cm}$ achieved in sample
- No current flowing in cell due to insulating cuvettes
- Avoid motion of charged constituents of a solution apply alternating Voltage kHz
- Dipole follows but diffusion is much slower



THz Pump x-ray probe



- Cold finger cryostat
- No radiation shielding on the THz input
- Sample temperature 5K – 500K
- Field maxima of 1MV/cm are reachable

0.1THz-30THz
Pump pulse
Length: 100fs

Backup Slides

Basic definitions

specific heat

Specific heat: the amount of heat required to raise the temperature of a solid of mass m by 1 Kelvin

$$c_V = \frac{dU}{dT} = \frac{1}{m} dQ/dT$$

entropy

a measure of disorder in a system
accounts for the energy unavailable to do work

$$\Delta S = \frac{Q}{T} \quad dS = \frac{dH}{T} \Big|_p$$

“... handy way to calculate heat transfers” [G. Walker “Cryocoolers”]

inner energy

total energy contained in a system
energy related to the motion of system particles

$$\begin{aligned} dU &= TdS - pdV \\ &= dQ|_V = mc_V dT \end{aligned}$$

enthalpy

“heat content”
energy for isobaric process
easier for calculations in flow systems

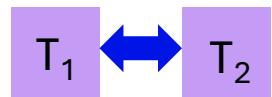
$$\begin{aligned} H &= U + pV \\ dH &= dU + Vdp + pdV \\ dH &= dQ + Vdp \\ dH &= C_p dT \end{aligned}$$

Laws of thermodynamics

0th law

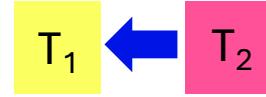
definition of equilibrium

Equilibrium



$$\begin{aligned} T_1 &= T_2 \\ dQ &= 0 \end{aligned}$$

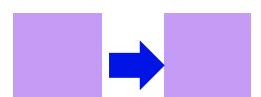
not in equilibrium



$$\begin{aligned} T_1 &< T_2 \\ dQ &\neq 0 \end{aligned}$$

1st law

energy conservation



U..internal energy

Q..heat added to
system



W..work done by
the system

$$dU = Q - W$$

2nd law

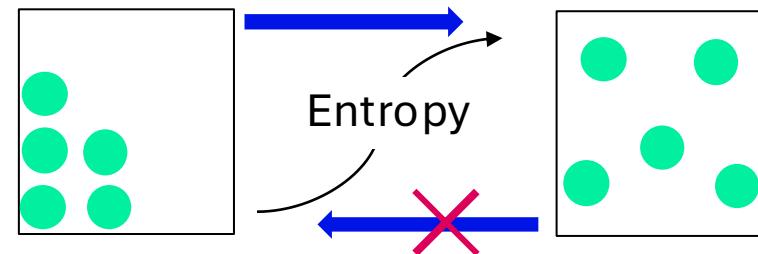
time has a direction

heat flows from high to low temperatures

entropy of an isolated system

approaches

maximum



3rd law

the entropy at zero

temperature converges to a
constant value

$$\Delta S_{T \rightarrow 0} = 0$$

$T=0$ cannot be reached

